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					endment Number:	
Contract Number EP-W-09-024	Contract Period			Title of Work Assignmen		
	Base Option	Period Number:		See Comments	10041	
Contractor Battelle Memorial Ins	titute		Specify Secti	on and Paragraph of Contrac	tSOW	
Purpose: Work Assignment In	nitiation Work	Assignment Close	-Out	Periods of Performance		
☐ Work Assignment A ⊠ Work Plan Approval	mendment Incre	mental Funding	-	From: 06/23/2010	To: 06/22/2011	
Comments:						
Title: Technical Supp This action approves the	e work plan dated	04/29/2011.				
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	\$134,47			1,013		
This Action						
Total	\$449,17		Tatimanta A	3,243		
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Cumulative A roved: 06/03/2 Work Assignment Manager Name	011 Cost/Fee:	\$449,174		LOE: 324	13	
E-Marie Wines				Branch/Mail Code		
				Phone Number (3:	12) 886-6034	
(Signature)			(Date)	Fax Number		
Project Officer Name				Branch/Mail Code		
Cynthia Bowie				Phone Number (20	02) 564-7726	
(Signature)			(Date)	Fax Number		
Other Agency Official Name				Branch/Mail Code		
				Phone Number		
(Signature)			(Date)	Fax Number		
Contracting Officer Name			-	Branch/Mail Code 380)3R	- 87
Christine Edwards	L	6/	1.	Phone Number (20	02) 564-2182	-
(Signature)		4/3/	(Date)	Fax Number		
Contractor Acknowledgement of Rece	ipt and Approval of Workpla	an (Signature and Ti		Dat	'e	

Contract Number Contract Period 06/23/2019 To 06/22/2011 Title of Work Assignment Six Name Six N	EP.	United States Environmental Protection Agency Washington, DC 20460 Work Assignment						Work Assign	other			ent Number:		
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Phone Number 312-886-6034 (Signature) Project Officer Name Cynthia Bowie Project Officer Name Cynthia Bowie Branch/Mail Code: Phone Number: 202-564-7726 FAX Number: Other Agency Official Name Branch/Mail Code: Phone Number: (Signature) (Date) FAX Number: FAX Number: Phone Number: FAX Number: FAX Number: Phone Number: FAX Number: Phone Number: Phone Number: Phone Number: Phone Number: Phone Number: Phone Number: 202-564-2182	Work Assignment Mar	nager Name	E-Marie	Wines	_	·			Bra	nch/Mail Cod	e.			_
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Project Officer Name Cynthia Bowie Branch/Mail Code: Phone Number: 202-564-7726 Phone Number: 202-564-7726 Cotter Agency Official Name Branch/Mail Code: Phone Number: Phone Number: Phone Number: FAX Number: FAX Number: Contracting Official Name Christine Edwards Branch/Mail Code: Phone Number: 202-564-2182 Phone Number: 202-564-2182		(Signal	ure)				(Date							
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(Signature) Other Agency Official Name Branch/Mail Code: Phone Number: (Signature) (Contracting Official Name Christine Edwards When Agency Official Name Christine Edwards (In the Interval of Interva		4										64-7726		
Other Agency Official Name Branch/Mail Code: Phone Number: (Signature) (Coate) FAX Number: Contracting Official Name Christine Edwards When the Christine Edwards HIG DOI: Phone Number: 202-564-2182									202-	7720				
Phone Number: (Signature) (Date) FAX Number: FAX Number: Branch/Mail Code: Phone Number: 202-564-2182	Other Agency Official		<i>u.</i> 6/				(100.0)	,			la:			
(Signature) (Date) FAX Number: Contracting Official Name Christine Edwards Branch/Mail Code: Phone Number: 202-564-2182									-		c.			
Contracting Official Name Christine Edwards ### Christine Edwards #### Branch/Mail Code: Phone Number: 202-564-2182		/Sings-1	urel	_			(Date							 -
(ht Education 4/19/2011 Phone Number: 202-564-2182	Contracting Official M			wards			(Date	<u> </u>			e.			
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	<u>(a</u>						Date	<u>4 [XI] </u>			202-	J04-Z18.		

States Environmental Protection Washington, DC 20460	n Agency Work Inment Number									
SEPA Work Assignment	Original Amendment Number: 2									
Contract Number Contract Period	Title of Work Assignment									
EP-W-09-024 Base Option Period Number										
Contractor	Specify Section and Paragraph of Contract SOW									
Battelle Memorial Institute										
Purpose: Work Assignment Initiation Work Assignment Close	e-Out Periods of Performance									
Work Assignment Amendment Incremental Funding	From: 06/23/2010 To: 06/22/2011									
☐ Work Assignment Approval										
Comments: Technical Support for EPA's Strategy Elimination of Persistent Toxic Substances in the Great Lakes This amendment removes the cap previously placed on this work assignment. The total approved cost										
This amendment removes the cap previously placed \$314,704.	on this work assignment. The total approved cost									
Superfund Accounting and A	ppropriations Data Non-Superfund									
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Contract Period: Authorized Work Cost/Fee	Assignment Ceiling									
Previously Approved \$296,563	2,230									
This Action \$ 18,142	0									
Total \$314,704	2,230									
Work Plan / Cost	Estimate Approvals									
Contractor WP Dated: 07/08/2010	LOE: 2,230									
Cumulative A roved: 07/08/2010 Cost/Fee: \$314,704	LOE: 2,230									
Work Assignment Manager Name	Branch/Mail Code									
E-Marie Wines	Phone Number 312-886-6034									
(Signature)	(Date) Fax Number									
Project Officer Name	Branch/Mail Code									
Cynthia Bowie	Phone Number 202-564-7726									
(Signature)	(Date) Fax Number									
Other Agency Official Name	Branch/Mail Code									
	Phone Number									
(Signature)	(Date) Fax Number									
Contracting Officer Name	Branch/Mail Code 3803R									
Christine Edwards 3/19/	Phone Number 202-564-2182									
(Signature)	(Date) Fax Number									
Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and										

EPA	United States Environn Washin	nental Protection agton, DC 20460	Agency		Work Assig	ınment Nu	ımber	
EFA	Work A	ssignment				Other	X Amendm	ent Number: 1
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BATTELLE MEMORIAL INS	STITUTE							
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X Work Assignme	ent Amendment	Incremental Funding	ng		1			
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Comments: Technical Support for EPA	's "Strategy for the Vi	rtual Eliminat	ion of Pers	sistent To	oxics Sub	stances	in the Grea	t Lakes"
This amendment removes the	e cap previously placed	on this work	assignment.	. The tota	al approv	ed cost	is \$314,704	
Superfund	Acc	ounting and Appro	priations Data	3			Х	Non-Superfund
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Work Assignment Manager Name E=N	Marie Wines				nch/Mail Co	010		
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Project Officer Name Cynthia B	owie			<u> </u>	nch/Mail Co			
						: 202-9	564-7726	
(Signature)	<u> </u>	(Date	9)		Number:			
Other Agency Official Name				-	nch/Mail Co			
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Contracting Official Name Christ	ine Edwards				nch/Mail Co		ECA 0100	
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Contract Num EP-W-09-		Contra Bas	act Period se C	ption Period Numberl		Title of Work Assignment "Technical Support for EPA's "Strategy for the Virtual Elimination of Persistent Toxics Substances in the Great Lakes"						
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Purpose:		signment Initiati		ignment Close Out		Periods of		_				
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Work Assignm	ent Manager	Name				Branch/M	Branch/Mail CodeG-17J					
E. M. WIN	ES					Phone Nu	mber (3	12) 8	86-6034			
	(Signature)				(Date)	Fax Numb	er					
Project Officer					,,	Branch/M	ail Code7	4041				
SINETA V	VOOTEN	1				Phone Nu	mber (20	02) 5	66-0501			
	(Signature)				(Date)	Fax Numb	er (202	2) 566	5-0469			
Other Agency		9			(Daily)	Branch/M	Branch/Mail Code					
						Phone Nu	mber					
(Signature) (Date)						Fax Numb	er					
Contracting O					12010)	Branch/M	all Code3	803F				
CHRISTIN	IE EDWA	RBS/	,					-				
	10	ul	Eduaro	k 8/101	10	-	Phone Number (202) 564-2182					
	(Signature)				(Date)	Fax Numb	per					
Contractor Ac	knowledgeme	ent of Receipt a	nd Approval of Workp	lan (Signature and Title)		1	Date				

"Technical Support for EPA's trategy for the Virtual Elimention of Persistent Toxics Substances in the Great Lakes"

Contract: EP-W-09-024, Work Assignment: 1-01, Amendment: 0001

Summary Information

Title:

"Technical Support for EPA's "Strategy for the

Virtual Elimination of Persistent Toxics

Substances in the Great Lakes"

Period of Performance: From: 06/23/10

To:

06/22/11

Award Date:

Total Funding:

06/23/10

WA Totals

The following item(s) have been added:

Category	POP		Amount
		(b)(4)	
Estimated Cost	Option 1	\$	
Fixed Fee	Option 1		

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 2230 to 2226.

Washington, DC 20460	ency	1					
Washington, DC 20460 Work Assignment		[X] Original [] Amendment	t Number:				
Contract Number Contract Period EP-W-09-024 Base Option Period Number		Title of Work Assignment "Technical Support for EPA's "Strategy fo the Virtual Elimination of Persistent Toxics Substances in the Great Lakes"					
		n and Paragraph of Contract SOW ned Statement of Work					
Purpose: [X] Work Assignment Initiation [] Work Assignment Close-Out	oo attaoi	Periods of Performance					
Work Assignment Amendment Incremental Funding Work Plan Approval		From:06/23/10	то:06/22/11				
Comments: Work Assignment Initiation [] Superfund Accounting and App		one Data	[X] Non-Superfun				
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DC Budget/FYs Appropriation Budget Org/Code Program Element (Max 6) (Max 4) Code (Max 6) (Max 7) (Max 9)	Object Class	Amount (Dollars) (Cents)	Site/Project Cost Org/Code (Max 8) (Max 7)				
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Contract Period: Cost/Fee	signine	LOE					
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Total \$0.00		2,230					
Work Plan / Cost Est Contractor WP Dated : Cost/Fee:	imate A	All the second					
Cumulative Approved: Cost/Fee:\$0.00	LOE: 2,230						
Work Assignment Manager Name		Branch/Mail Code G-17J					
E. M. WINES		Phone Number (312) 886					
(Signature)	(Date)	Fax Number					
Project Officer Name	(Date)	Branch/Mail Code 7404T					
SINETA WOOTEN		Phone Number (202) 566	5-0501				
	75-1-1	Fax Number (202) 566-0					
(Signature) Other Agency Official Name	(Date)	Branch/Mail Code					
		Phone Number					
(Signature)	(Date)	Fax Number					
Contracting Official Name	(Pate)	Branch/Mail Code3803R					
CHRISTINE EDWARDS			1.2182				
CHRISTINE EUNANDS	Ŏ	Phone Number (202) 564	+-2102				

Date

Fax Number

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

"Technical Support for EPA' Strategy for the Virtual Elimenation of Persistent > Toxics Substances in the Great Lakes"

Contract: EP-W-09-024, Work Assignment: 1-01

Summary Information

Title:

"Technical Support for EPA's "Strategy for the

Virtual Elimination of Persistent Toxics

Substances in the Great Lakes"

Period of Performance: From: 06/23/10

To:

06/22/11

Award Date: Total Funding:

Procurement Management Roles

WORK ASSIGNMENT MANAGER:

U.S. E.P.A. Attn: E. M. WINES 77 WEST JACKSON BLVD CHICAGO, IL 60604

Mail Code: G-17J

Phone Number: (312) 886-6034

Fax Number:

E-Mail Address: wines.e-marie@epa.gov

Attachments

Attachment Name

Support for "Strategy for the Virtual Elimination of Persistent Toxics Substances in the Great Lakes"

Title: Technical Support for EPA's "Strategy for the Virtual Elimination of Persistent Toxics Substances in the Great Lakes"

Purpose: This work assignment supports the Great Lakes Binational Toxics Strategy (also, Strategy, or GLBTS), which in turn complements and contributes to the Agency-wide Persistent, Bioaccumulative and Toxic Chemicals (PBT) Strategy. The PBT Strategy targets the Level I substances list of the GLBTS, as well as other toxic substances, for activities and actions relating to the reduction of these substances in the environment.

I. Background

EPA's Great Lakes National Program Office (GLNPO), located in Chicago, acts as the Agency's knowledge center for a geographic area, the Great Lakes watershed. In keeping with the objectives of the Great Lakes Water Quality Agreement (GLWQA) to "virtually eliminate" the discharge of persistent toxic substances into the Great Lakes basin, in 1993 GLNPO began the "Virtual Elimination Pilot Project". GLNPO developed technical, research and policy reports which laid the theoretical groundwork for the GLBTS, and by extension, the PBT Strategy.

On April 7, 1997, Administrator Browner and the Canadian Minister of the Environment March signed the GLBTS. In line with the GLWQA, the GLBTS calls for reduction and virtual elimination of targeted persistent toxic substances in the Great Lakes basin. Several substances are targeted for percentage reductions within a ten-year time frame on the path to virtual elimination.

Implementation of the GLBTS entails in part a four-step analytical process for assessing sources of the Level I toxic substances, summarizing regulatory incentives and disincentives, and promoting appropriate actions by Stakeholders.

EPA is also drafting a strategy on how to improve the assessment of potentially toxic substances in the Great Lakes (GL). Historically, a majority of the effort in the GL has focused on chemical monitoring programs which provide extensive spatio-temporal information on chemicals selected for monitoring. However, relatively little work has been systematically conducted to determine the biological effects that may be occurring as a result of exposure to potentially toxic substances in the GL. A major emphasis of this strategy is to provide the rationale and approaches to improve systematic, effects-based information as a complement to the chemical monitoring information. Specific sections of the strategy document require additional effort to identify information in the literature relevant to the analysis, summarize that information, and utilize the information to improve the comprehensiveness of the GL strategy document. Two specific areas require additional effort and are detailed as specific tasks below.

II. Scope of Work

The Contractor shall perform the tasks as listed below. This work assignment continues efforts performed under Work Assignment 01. No work performed under previous work assignments will be duplicated under this work assignment.

Task 1: Task Management

The Contractor shall prepare and submit a work plan in accordance with the requirements of this contract. The Contractor shall also participate in general planning conference calls and on-site meetings, prepare monthly progress reports, and conduct other task management activities.

The Contractor's monthly progress reports shall provide a breakdown of costs for each subtask and for each workgroup. Costs shall be provided on a bimonthly basis. If the Contractor determines that there are insufficient hours allocated to complete any given task, the Contractor shall convey this information to the EPA WAM as soon as possible.

Support for "Strategy for the rtual Elimination of Persistent Toxics Substances" in the

Contract: EP-W-09-024, Work Assignment: 1-01

The Contractor shall ensure that appropriate quality assurance measures are taken. Deliverables are expected to be of high quality and to contain a minimum of errors (unless the document requested is simply an interim draft).

The Contractor shall ensure that documents to be posted on the web are constructed on GLNPO's EXTRANET, http://chicago.glnpo.net/bns/. GLNPO will establish an account for the Contractor's use.

The Contractor shall submit all final reports/documents as Microsoft Word and Adobe Acrobat Portable Document File, via email and/or disk.

The Contractor shall assist EPA in assuring that there is proper coordination between the GLBTS and the PBT Strategy, other EPA efforts such as Lakewide Management Plans (LaMPs) and Remedial Action Plans (RAPs), and with other international toxics reduction efforts such as the work being done on Persistent Organic Pollutants (POPs) and by the Commission for Environmental Cooperation (CEC), etc.

The Contractor shall assist EPA in assuring that the many tasks to be carried out under this work assignment are completed in accordance with the overall GLBTS schedule, and that information obtained in support of any GLBTS-related task is also made available to all other relevant parties. In other words, the Contractor shall help assure that "economies of scale" are realized, and that the implementation of the GLBTS is carried out as efficiently as possible.

The Contractor shall assist EPA with reporting and with outreach/communication efforts, and shall provide substance-specific workgroup support, technical support and analyses, support for public meetings, and support to LaMPs (upon further direction by the WAM). The Contractor shall also assist EPA in its efforts to meet the long-range transport and sediment challenges delineated in the GLBTS (upon further direction by the WAM).

Task 2: Reports

Upon further direction by the EPA WAM, the Contractor shall make modifications to the GLBTS Step 3 reports, Management Assessment Report, and other reports produced in the effort to assist the GLBTS Workgroups. The schedules for delivering these report modifications will be provided by the WAM at the time of assignment.

Task 3: Work Group Support

The Contractor shall assist EPA's workgroup leaders. The Contractor shall help the workgroup leaders to prepare for meetings and/or workshops with their workgroups, facilitate workgroup meetings, and provide minutes from the meetings (unless otherwise directed). They shall also provide technical and administrative assistance to the workgroup leaders as requested. The Contractor shall continue to report the amount of effort expended in support of each of the chemical-specific work groups, whenever such a breakdown is possible. The following are the named EPA work groups:

- Mercury
- Pesticides
- Burn Barrel Sub-workgroup
- OCS
- Sector Workgroup
- GLBTS Management Framework
- Integration Workgroup

Task 4: Outreach and Communication

-B(a)P/HCB

-Dioxins/Furans

-PCBs

-Substance Workgroup

Monitoring and Surveillance Workgroup

Upon further direction by the EPA WAM, the Contractor shall assist in the development of strategies for outreach to industry, States, Tribes, environmental groups and other non-governmental organizations, the public, and other stakeholders.

The Contractor shall assist EPA in its communication efforts as directed by EPA WAM. This shall include updating the stakeholder database so that it is easily used to reach stakeholders via e-mail, sending messages to stakeholders, gathering responses, preparing documents for public use, etc.

Workgroup Activity Updates, as directed by the WAM, the contractor shall prepare a draft and final bi-annual "Updates". These "Updates" will be completed for distribution at the bi-annual GLBTS Stakeholder Forum meetings.

Task 5: Technical Support and Analyses

- A. Technical Support and Analyses: The Contractor shall provide technical support to EPA to assist in carrying out the implementation of the GLBTS. The Contractor shall develop and analyze innovative and non-regulatory strategies for the reduction and virtual elimination of the Level I and Level II GLBTS substances. This analysis shall be conducted in such a way as to facilitate communication and involve stakeholders. Emphasis should be on how to actually effect change -- what incentives to use to get stakeholders to change practices, and how to implement specific actions at the Lake, State and local levels to achieve reductions. Much of this analysis will be incorporated into the reports discussed earlier.
- Environmental monitoring efforts for potentially toxic pollutants historically have relied on chemical measurements in different matrices (water, sediment tissue). However, there are some important drawbacks to only using analytical approaches to monitor for contaminants of possible concern, including (1) lack of detection of "unknown" chemicals possibly responsible for adverse biological effects, (2) uncertainty that measurements of known chemicals have adequate detection limits compared to concentrations that elicit possible biological effects, and (3) inability to reconstruct possible biological effects of chemical mixtures. Past regulatory and monitoring efforts have recognized these drawbacks and addressed them through the use of biological effects-based testing to complement chemical analyses. For example, whole effluent toxicity testing is routinely used in permitting surface water discharges to address the uncertainties associated with a chemical monitoring alone.

The purpose of this task is to conduct a review of existing literature to identify biological effects-based tests and endpoints that have been or can be used for environmental monitoring of aquatic vertebrates and invertebrates. These tests could include lab-based *in vitro* or *in vivo* assays using complex mixtures from the field, such as discharge or receiving waters, sediments/sediment fractions, and even tissue extracts. Samples also could be from organisms held *in situ* (e.g., caged fish studies), or animals collected from extant populations. From these types of systems many molecular, biochemical, histological and apical endpoints could be considered. The endpoints might be "generic" in that they reflect multiple chemical stressors, or they could be "specific" in terms of capturing defined biological pathways of concern. An example of a generic biological endpoint would be acute lethality as measured, for example, via biological tests, like those used for effluent permitting and dredged material assessment programs. An example of a more specific endpoint would be vitellogenin (VTG) expression in male fish, which is an indication of exposure of the animals to one or more estrogenic chemicals.

In addition to identifying *in vivo* and *in vitro* assays/endpoints that have been used for effects-based environmental monitoring, the review shall provide (1) an assessment of the degree to which the endpoint(s) reflects generic versus specific effects (including, for specific endpoints, the pathway(s) affected, e.g., chemical activation of the estrogen receptor for VTG in male fish), (2) the degree to which the assay/endpoints can be related to an adverse response at the individual or population level (see attached paper by Ankley et al. [2010] for further background on this), (3) whether the endpoint/assay would be considered an "off the shelf" measurement (e.g., validated

system with strong scientific underpinnings, readily available through commercial, government, and/or other sources, etc.) versus a research tool that may not be ready for broad deployment or use (this point also should consider the degree of validation and standardization that has been conducted), and (4) consideration of the types of matrices to which the assay/endpoint has been successfully applied (e.g., fresh- versus salt-water; whole waters, effluents, sediments, tissues—or extracts thereof, etc.).

C. Strategies for Ecological Risk Assessment of "Chemicals of Emerging Concern":

The objective of this task is to compile, summarize, and analyze strategies emanating from various organizations regarding the assessment of "Chemicals of emerging concern" (CECs), in particular, as they apply to risks to aquatic life in fresh, estuarine, and marine systems. CECs go by a variety of names including, contaminants of emerging concern, emerging contaminants of concern, trace organic contaminants, etc. Therefore some confusion can occur due to differences in terminology. For the purposes of this work, the approach shall be inclusive of all similar groupings. Often the CEC class includes: pharmaceuticals and personal care products, endocrine disrupting compounds, persistent bioaccumulative chemicals, and specific industrial chemicals classes. This task shall include peer reviewed articles as well as gray literature, such as workshop reports, internal reports and presentations, etc.

The following questions will help to guide this work, but these are not intended to limit the scope of the work.

What strategies, workshop reports, etc. exist?

How are CECs defined in each of these strategies?

What are the specific elements in these strategies?

What are the major classes of chemicals within the CEC grouping?

What parameters are used in risk prioritization and assessment?

What tools/information are used to prioritize risk and assess chemical risk?

What are the similarities and differences among strategies?

What are the implementation recommendations?

What are the research recommendations?

What are the major uncertainties identified?

Several national and international organizations have a history of activity in this subject area, including, but not limited to:

International Joint Commission

US EPA

USGS

USFWS

US NOAA

Environment Canada

OECD

Other international governments

In addition, several states, provinces, and interest organizations have a history of activity in this subject area, including, but not limited to:

WERF (Jan 2010 Workshop Report on Progress in Determining Aquatic Impacts of

TOrCs)

AWWA

Southern California Coastal Water Research Project

Environmental Council of the States

Task 6: Support to Lakewide Management Plans

The Contractor shall provide technical support to EPA for development of various LaMP documents (i.e, Lake Superior LaMP and the AIS Prevention Plan), as directed by the WAM, such as LaMP Workgroup Activity Updates. The contractor shall prepare a draft and final "Updates" or

Fact Sheets, as directed. These "Updates" will be completed for distribution at LaMP Stakeholder Forum meetings or public distribution.

Upon further direction by the EPA WAM, the Contractor shall assist in the development of strategies for outreach to industry, States, Tribes, environmental groups and other non-governmental organizations, the public, and other stakeholders.

The Contractor shall assist EPA in its communication efforts as directed by EPA WAM. This shall include updating the stakeholder database so that it is easily used to reach stakeholders via e-mail, sending messages to stakeholders, gathering responses, preparing documents for public use, etc.

The Contractor shall prepare materials in support of and attend LaMP related meetings, as directed by the WAM.

Task 7: Support to Public and Other Meetings

The Contractor shall prepare materials in support of and will attend Great Lakes Binational Toxics Strategy-related meetings. It is anticipated that there will be at least three general Stakeholder Forum meetings, three Integration and/or Sector/Substance workgroup meetings. The Contractor (as directed by the EPA WAM) shall prepare materials in support of and will attend PBT Reduction Strategy Team meetings/calls and the 10-year Anniversary Workshop. Support two half day sessions at SETAC in Portland. Support IAGLR Expert consultation in Duluth, 2011.

III. Deliverables

The Contractor shall prepare and submit a revised work plan in accordance with contract requirements. EPA will approve the work plan in accordance with contract requirements.

The Contractor shall prepare supporting materials, meeting minutes/summaries and strategic direction for the Integration Workgroup, Stakeholder, PBT Strategy Team and other meetings. The Contractor shall also help develop and/or revise the reports as outlined above, following WAM technical direction, as indicated in Attachment A.

A QA/QC plan is required for any data collection or analysis that will be used in documents that result in recommendations for future Agency actions.

CBI does not apply.

This work assignment relates to pages 4-17 through 6-17 of the current Statement of Work (SOW) of the contract.

IV. Period of Performance

This work assignment will start with the date of the Contracting Officer's signature and extend through June 22, 2011.

Support for "Strategy for the irtual Elimination of Persistent Toxics Substances in the

Contract: EP-W-09-024, Work Assignment: 1-01

V. Level of Effort

The number of technical hours shall not exceed 2,230. The Contractor shall notify the EPA WAM when 75% of the allotted hours have been reached either in any one funding category or in the overall work assignment.

VI. EPA Contacts

Work Assignment Manager:

E. Marie Wines U.S. Environmental Protection Agency (G-17J) 77 W. Jackson Boulevard Chicago, IL 60604 Phone: (312) 886-6034 Fax: (312) email: wines.e-marie@epa.gov

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DC Budget/FYs (Mex 8) (Max 4)	Appropriation Code (Max 8)	Budget Org/Code (Max 7)	Program Element (Max 9)	Object Class	Amount	(Dollars)	(Cents)	Site/Project (Max 8)	Cost Org/Code (Max 7)		
1 2				-	_	_	-				
3											
5											
3		Autho	rized Work	Assignmen	t Ceiling	,					
Contract Period: Previously Approved		Cost/Fee \$114,26	4.00			LOE 817					
Previously Approved											
This Action		\$0.00				165					
Total		\$114,26	4.00			982					
		Work	Plan / Cost E	stimate A	pproval	3					
Contractor WP Dated :		Cost/Fee:				LOE:					
Cumulative Approved:		Cost/Fee:\$	114,264.00		LOE:982						
Work Assignment Manager I					Branch/Mail Code 7405 M						
JEFFREY A. TAYLO)R				Phone Number (202) 564-8828						
(Signature)				(Date)	Fax Number (202) 564-4775						
Project Officer Name					Branch	Branch/Mail Code 7404T					
SINETA WOOTEN					Phone	Phone Number (202) 566-0501					
(Signature)					Fax Nu	Fax Number (202) 566-0469					
(Signature) (Other Agency Official Name					Branch	Branch/Mail Code					
					Phone	Phone Number					
(Signature) (Da				(Date)	Fax Number						
Contracting Official Name					Branch	Branch/Mail Code3803R					
CHRISTINE EDWA	ROS	1	10.1	213	-		02) 564	1-2182			
Com En	ause		12/7	111	Fax Nu	-					
(Signature) Contractor Acknowledgemen	t of Receint and	Approval of Workpla	an (Signature and Ti	(Date)			Date				

"Technical Support hemical Hazard and Risk Equation and Risk Management"

Contract: EP-W-09-024, Work Assignment: 1-02, Amendment: 0004

Summary Information

Title: "Technical Support to Chemical Hazard and Risk

Evaluation and Risk Management"

Period of Performance: From: 06/29/10

To: 06/22/11

Award Date: 06/29/10

Total Funding:

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 817 to 982.



Contract Number EP-W-09-024 United States Environmental Protection Agency Washington, DC 20460

Work Assignment

Contract Period Option Period Number

ork Assignment Number 7-02

[Original [X] Amendment

Title of Work Assignment

"Technical Support to Chemical Hazard and Risk Evaluation and Risk Management"

CONTRACTOR				
BATTEL	LE N	MEMORIA	AL INST	TTUTE

Specify Section and Paragraph of Contract SOW See the attached Statement of Work

Purpose:

[X] Work Plan Approval

[] Work Assignment Initiation [] Work Assignment Close-Out

[X] Work Assignment Amendment

[] Incremental Funding

From:06/29/10

Periods of Performance

то:06/22/11

Comments:

This amendment approves the Technical and Financial Work Plan dated 11 November 2010, at a cost of \$4,585.00. Currently, there are 817 Professional Labor Hours allocated for this Work Assignment.

DC Budget/FYs Appropriation Budget Org/Code Program Element (Max 6) (Max 4) Code (Max 5) (Max 7) (Max 9)							
Chart Man th Cat Han th	Object	Amount	(Dollars)	(Cents)	Site/Project	Cost Org/Code	
	Class	Autrodik	, (Consta)	(Conta)	(Max 8)	(Max 7)	
1 2	-			1			
3							
4							
5					-		
Authorized Work A	ssignme	nt Ceilin	g				
Contract Period: Cost/Fee Previously Approved \$109,679.00			LOE 810				
This Action \$4,585.00			7				
Total \$114,264.00			817				
Work Plan / Cost E	stimate A	pproval	s				
Contractor WP Dated : 11/11/10 Cost/Fee: \$4,585.00			LOE:	7			
Cumulative Approved: Cost/Fee:\$114,264.00			LOE:817				
Work Assignment Manager Name	Branch	Branch/Mail Code 7405M					
JEFFREY A. TAYLOR		Phone	Phone Number (202) 564-8828				
(Signature)	Fax Nu	Fax Number (202) 564-4775					
Project Officer Name	Branch	Branch/Mail Code7404T					
SINETA WOOTEN		_	Phone Number (202) 566-0501				
(Signature)	(Date)	Fax Nu	Fax Number (202) 566-0469				
Other Agency Official Name	,	Branch	Branch/Mail Code				
		Phone	Phone Number				
(Signature)	Fax Nu	Fax Number					
Contracting Official Name	Branch	Branch/Mail Code3803R					
CHRISTINE EDWARDS 11/3	Phone	Phone Number (202) 564-2182					
(Signature)	(Date)	Fax Nu	Fax Number				
Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title	e)			Date		~	

"Technical Support Themical Hazard and Risk Management"

Contract: EP-W-09-024, Work Assignment: 1-02, Amendment: 0003

Summary Information

Title: "Technical Support to Chemical Hazard and Risk

Evaluation and Risk Management"

Period of Performance: From: 06/29/10

To: 06/22/11

Award Date: 06/29/10

Total Funding:

WA Totals

The following item(s) have been modified:

Category	POP	From	Ву	То
Estimated Cost Fixed Fee	Option 1 Option 1	s (b)(4)		

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 810 to 817.

ssignment Number ed States Environmental Protection Agency Washington, DC 20460 Work Assignment [Original [X] Amendment Number: 1 Title of Work Assignment Contract Period Technical Support to Chemical Hazard and EP-W-09-024 Option Period Number Base Risk Evaluation and Risk Management" Contractor Specify Section and Paragraph of Contract SOW BATTELLE MEMORIAL INSTITUTE See the attached Statement of Work [] Work Assignment Initiation [] Work Assignment Close-Out Periods of Performance [X] Work Assignment Amendment [] Incremental Funding From: 06/29/10 To:06/22/11 [X] Work Plan Approval This amendment approves the Technical and Financial Work Plan dated 09 July 2010, in the amount of \$109,679.00. Currently, there are 780 Professional Labor Hours allocated for this Work Assignment. Superfund Accounting and Appropriations Data [X] Non-Superfund DC (Max 6) Budget/FYs (Max 4) Appropriation Code (Max 6) Budget Org/Code (Max 7) Program Element (Max 9) Amount (Dollars) (Cents) Site/Project (Max 8) Cost Org/Code (Max 7) Object Class 2 3 4 5 **Authorized Work Assignment Ceiling** Contract Period: Cost/Fee LOE \$0.00 Previously Approved 725 \$109,679.00 55 This Action \$109,679.00 780 Total Work Plan / Cost Estimate Approvals Contractor WP Dated: Cost/Fee:\$109.679.00 LOE:55 Cumulative Approved:07/29/10 Cost/Fee:\$109,679.00 LOE:780 Work Assignment Manager Name Branch/Mail Code 7405M JEFFREY A. TAYLOR Phone Number (202) 564-8828 Fax Number (202) 564-4775 (Signature) (Date) Project Officer Name Branch/Mail Code 7404T SINETA WOOTEN Phone Number (202) 566-0501 Fax Number (202) 566-0469 (Signature) (Date) Other Agency Official Name Branch/Mail Code

Phone Number Fax Number

Fax Number

Branch/Mail Code 3803R

Phone Number (202) 564-2182

Date

(Date)

Contracting Official Name

(Signature)

CHRISTINE POWARDS

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

"Technical Support to Chemical Hazard and Risk Management"

Contract: EP-W-09-024, Work Assignment: 1-02, Amendment: 0001

Summary Information

Title:

"Technical Support to Chemical Hazard and Risk

Evaluation and Risk Management"

Period of Performance:

From: 06/29/10

To:

06/22/11

Award Date:

06/29/10

Total Funding:

06/29/

WA Totals

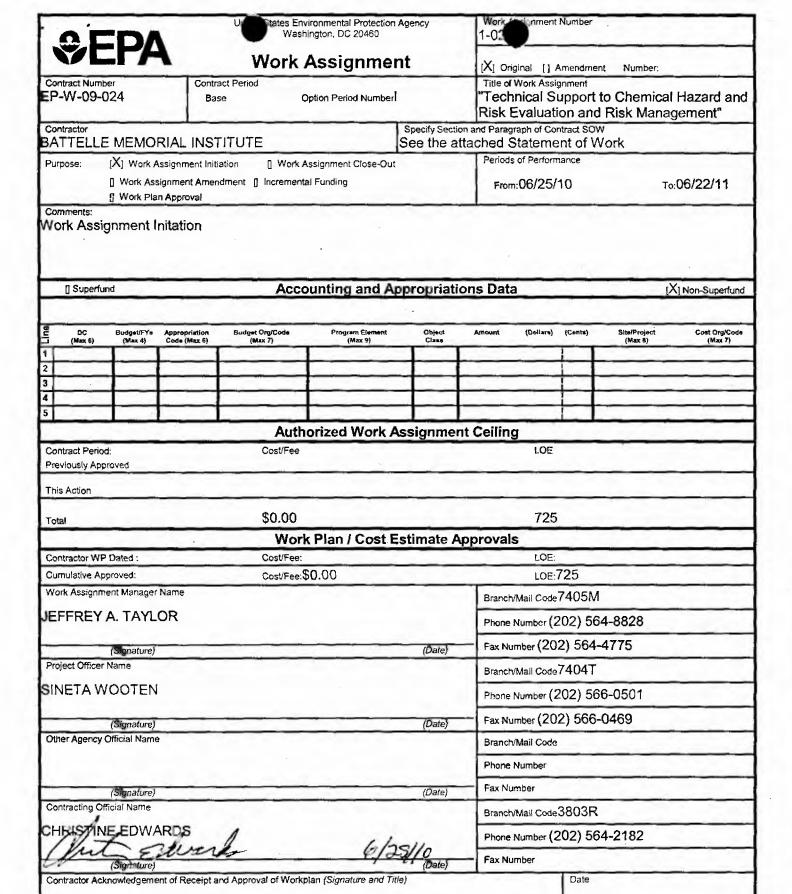
The following item(s) have been added:

Category	POP	Amount
		(h)(4)
Estimated Cost	Option 1	\$ (~)(.)
Fixed Fee	Option 1	

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 725 to 780.



"Technical Support to Checal Hazard and Risk Evaluation and Risk Management"

Contract: EP-W-09-024, Work Assignment: 1-02

Summary Information

Title: "Technical Support to Chemical Hazard and Risk

Evaluation and Risk Management"

Period of Performance: From: 06/25/10

To: 06/22/11

Award Date: Total Funding:

Procurement Management Roles

WORK ASSIGNMENT MANAGER:

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E-Mail Address: washington.annette@epa.gov

Attachments

Attachment Name

"Technical Support to Chemical Hazard and Risk Evaluation and Risk Management"

Contract Number: EPW09024

Work Assignment Number: 1-02

Title: Technical Support to Chemical Hazard and Risk Evaluation and Risk Management

This work assignment continues and expands upon the work initiated under Work Assignment 0-02 of Contract EP-W-09-024. No work performed under previous work assignments will be duplicated under this work assignment.

I. Background:

This work assignment, entitled *Technical Support to Chemical Hazard and Risk Evaluation and Risk Management*, was developed to provide EPA with support in analyzing existing chemicals and pursuing follow-up work for those chemicals that have the highest hazard and risk.

EPA's Existing Chemicals Program addresses pollution prevention, risk assessment, hazard and exposure assessment and characterization, and risk management for chemical substances in commercial use. Much of the program's work focuses on High Production Volume (HPV) chemicals and Moderate Production Volume chemicals. HPV chemicals are produced/imported in the United States at volumes greater than or equal to one million pounds per year; and MPV chemicals are produced/imported in the United States at volumes of greater than or equal to 25,000 pounds and less than one million pounds per year. EPA used the production/import volumes from the 2006 inventory Update Reporting (IUR) to identify approximately 6,750 HPV and MPV chemicals. The Agency can draw upon existing data sources to evaluate many of the 6,750 chemicals. Launched in 1998, EPA's HPV Challenge Program resulted in a great deal of chemical manufacturer-supplied hazard data posted to EPA's website that can now be used to evaluate hazard and risk. The Agency will use this hazard data, along with exposure data collected from IUR, to evaluate chemicals according to whether additional regulatory or voluntary action is appropriate. For the chemicals that EPA identifies as high hazard and risk, EPA will choose from among many actions that it is authorized to take under the current Toxic Substances Control Act. The Agency may pursue such regulatory actions as restricting chemical use through banning its manufacture/import, issuing Significant New Use Rules that require manufacturers/importers to alert EPA of any new uses, and publishing test rules that require the chemical industry to supply EPA with additional data. Among other options, the Agency will also analyze safer substitute chemicals and consider voluntary phase-outs from the chemical manufacturers.

II. Scope of Work:

Subtask 1. Work Plan and Task Management

The contractor shall prepare and submit a technical and financial work plan in accordance with the requirements of this contract. Work under this subtask shall include participating in conference calls, preparing the monthly progress reports, and other task management.

Subtask 2. Hazard and Risk Evaluation

The contractor shall assist EPA with its Action Plan hazard and risk evaluation. It will be necessary to prioritize chemicals for EPA review by analyzing overlaps amongst international and state lists of chemicals. The contractor will help EPA take follow-up action on chemicals that generally have the most hazard and risk concerns. The contractor may also help EPA conduct research on the chemicals – i.e., regulatory reviews – in order to develop a clear understanding of whether or how the chemicals have already been regulated.

EPA may ask the contractor to develop a tracking system in an effort to keep accurate records of the actions that EPA takes on chemicals.

The contractor shall provide support at interdivision meetings where chemicals are evaluated and

"Technical Support to Checical Hazard and Risk Evaluation and Risk Management"

Contract: EP-W-09-024, Work Assignment: 1-02

risk management decisions are developed. The meetings may occur approximately once every several weeks and last approximately one-to-two hours in duration, and require notetaking and voice recording so that EPA has a record of the communications. The contractor may also provide logistical support, facilitation, and notetaking for other Existing Chemicals meetings.

EPA may need assistance with special analyses that relate to hazard and risk evaluation. The HPV Challenge Program data collection and communication process has nearly reached a conclusion, but EPA may ask Battelle to help it process sponsor organization's communications and analyze data collected through the HPV Challenge Program.

EPA may ask the contractor for other work related to hazard and risk evaluation, and Action Plan development.

Subtask 3. Inventory Update Reporting (IUR)

The contractor shall be responsible for providing EPA with statistics in terms of production volume, companies, industrial processing and use, consumer and commercial use, and other 2006 IUR information that has been collected by EPA. As the 2006 IUR is updated, EPA anticipates providing Battelle on a regular basis with a confidential business information (CBI) IUR database in order for queries to be conducted on the IUR information.

EPA may ask the contractor to continue to track IUR rule comments from the public. The Agency may also ask the contractor to create IUR training materials that will be posted to the IUR website, such as web tutorials and presentations. Other website support may also be necessary, as well as other work generally related to the IUR.

III. Deliverables:

Subtask 1.	The contractor shall prepare and submit the work plar requirements.	The contractor shall prepare and submit the work plan in accordance with contract requirements.					
Subtask	Hazard and Risk Analyses.	At WAM's Request.					
2.	Hazard and Risk Tracking.	At WAM's Request.					
	Hazard and Risk Meeting Support.	Approximately once every three weeks.					
Subtask	2006 IUR Analyses.	At WAM's Request.					
3.	IUR Rule Comment Tracking and Training Support.	At WAM's Request.					

- EPA will approve the work plan within 45 days.
- A QA plan is not required.
- A work plan is required.
- CBI does apply.
- The work assignment relates to: Task II, Subtask 1; Task III, Subtasks 1, 8, and 13;
 and Task IV, Subtask 3 of the SOW.

IV. Period of Performance:

This Work Assignment will start with the date of the Contracting Officer's signature and extend through June 22, 2011.

V. Level of Effort:

The level of effort described in this work assignment shall not exceed 725 professional hours.

"Technical Support to emical Hazard and Risk Everation and Risk Management"

Contract: EP-W-09-024, Work Assignment: 1-02

VI. EPA Contacts:

Work Assignment Manager

Jeffrey Taylor EPA East Building, Rm 4410H, MC 7405M 1200 Penn. Ave, NW, Washington, DC 20460 Phone: (202) 564-8828 FAX: (202) 564-4775

Deputy Work Assignment Manager

Karen Hoffman EPA East Building, Rm 4410E, MC 7405M 1200 Penn. Ave, NW, Washington, DC 20460 Phone: (202) 564-8158 FAX: (202) 564-4775

Deputy Work Assignment Manager

Annette Washington EPA East Building, Rm 4351A, MC 7405M 1200 Penn. Ave, NW, Washington, DC 20460 Phone: (202) 564-8178 FAX: (202) 564-4775

O E	-n/	A		nvironmental Protection Ag hington, DC 20460	gency		Assignment	Number		
⇔ E		1	Work	Assignmen	t	[] Origi	nal [X] A	Amendme	ent Number:1	
Contract Numb EP-W-09-0		1	ract Period ase (Option Period Number	"Title of Work Assignment "Technical Support for the Enhancement and Debugging of SuperMUSE V1, 3N V1/V2, and FRAMES V2 Software"					E V1, 3MRA
Contractor BATTELLE	F MEMC	PIAI INS	TITIITE			ion and Parag	graph of Cor	ntract SO	w	
Purpose:		signment Initiat		ssignment Close-Out)CC (110 C		s of Perform		VOIN	
	[X] Work	Assignment Am	nendment [] Incre	remental Funding		Fror	n:06/25/	10	-	ro:06/22/11
	[X] Work	Plan Approval								0.00722
the cost at this Work	t \$122,00 Assignm	00.00. Cur	rrently, there ar	nd Financial Wor re 858 Profession	nai Labo	r Hours a	allocated	0, cap I for		
[] Superfu	nd		Acco	ounting and App	propriati	ons Data	a			X] Non-Superfund
DC (Max 6)	Budget/FYs	Appropriation	Budget Org/Code	Program Element	Object	Amount	(Dolfars)	(Cents)	Site/Project	Cost Org/Code
(Max 6)	(Max 4)	Code (Max 6)	(Max 7)	(Max 9)	Class			1	(Max 8)	(Max 7)
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4	-				-	-		-		
5										
				orized Work As	signmer	nt Ceilin	g			
Contract Period Previously App			Cost/Fee \$0.00				10E 972			
This Action			\$122,00	00.00			(114	l)		
Total			\$122,0	00.00			858			
			Worl	k Plan / Cost Es	timate A	pproval	s			
Contractor WP	Dated :07/	/09/10		\$126,086.00			LOE:~	114		
Cumulative Ap			Cost/Fee:\$	\$122,000.00		LOE:858				
Work Assignm	•					Branch	/Mail Code			
JUSTIN E.	BABEN	DREIER			i	Phone	Number (7	06) 35	55-8344	
	(Signature)				(Date)	Fax Nu	Fax Number (706) 355-8302			
Project Officer	Name					Branch	Branch/Mail Code7404T			
SINETA W	OOTEN	i			:	Phone	Number (2	:02) 56	6-0501	
	(Signature)				: (Date)	Fax Nu	Fax Number (202) 566-0469			
Other Agency	Official Name	9				Branch	Branch/Mail Code			
						Phone	Number			
	(Signature)				(Date)	Fax Nu	Fax Number			
Contracting Off	fficial Name	_			i	Branch	/Mail Code3	 3803R		
CHRISTIN	E EDW	IRUS	had	~/.		-	Number (2	_		
-	Mes	5	wads	8/14/1		Fax Nu	-			
	(Signature)			plan (Signature and Title)	(Date)		III.OG.	Date		
			mar approved or tromp	man farginates and they						

"Technical Supporter the Enhancement and Desigging of SuperMUSE V1, 3MRA V1/V2, and FRAMES V2 Software"

Contract: EP-W-09-024, Work Assignment: 1-03, Amendment: 0001

Summary Information

Title:

"Technical Support for the Enhancement and

Debugging of SuperMUSE V1, 3MRA V1/V2, and FRAMES

V2 Software"

Period of Performance:

From: 06/25/10

To:

Award Date:

06/22/11

06/25/10

Total Funding:

WA Totals

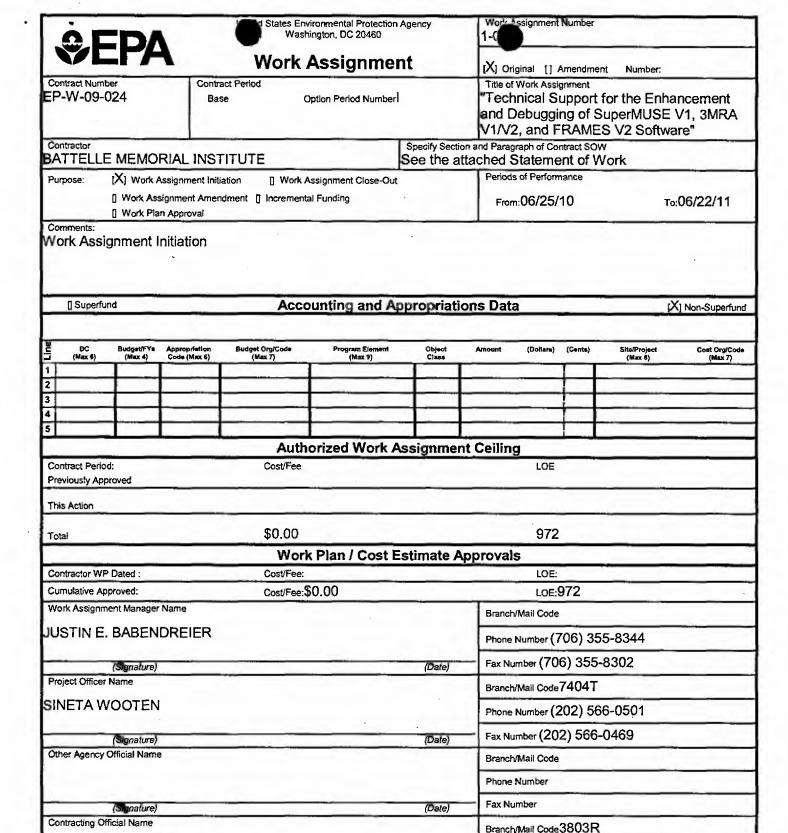
The following item(s) have been added:

Category	POP	Amo	unt
Estimated Cost Fixed Fee	Option 1 Option 1	\$ (b)(4)	

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 972 to 858.



Phone Number (202) 564-2182

Date

Fax Number

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

CHRISTING EDWARDS

"Technical Support for the hancement and Debugging SuperMUSE V1, 3MRA V1/V2, and FRAMES Software"

Contract: EP-W-09-024, Work Assignment: 1-03

Summary Information

Title:

"Technical Support for the Enhancement and

Debugging of SuperMUSE V1, 3MRA V1/V2, and FRAMES

V2 Software"

Period of Performance:

From: 06/25/10

To:

06/22/11

Award Date: Total Funding:

Procurement Management Roles

WORK ASSIGNMENT MANAGER:

U.S. E.P.A.

Attn: JUSTIN E. BABENDREIER

COLLEGE STATION RD

ATHENS, GA 30613

Mail Code:

Phone Number: (706) 355-8344 Fax Number: (706) 355-8302

E-Mail Address: babendreier.justin@epa.gov

Attachments

Attachment Name

"Technical Support for the Enhancement and Debugging of SuperMUSE V1, 3MRA V1/V2, and FRAMES V2 Software"

Scope of Work WA 1-03; OPPT Contract EP-W-09-024

Title:

Technical Support for the Enhancement and Debugging of SuperMUSE V1, 3MRA V1/V2, and FRAMES V2 Software Systems

Research Program for Sensitivity and Uncertainty Analyses

EPA/ORD/NERL/ERD's research program for investigating sensitivity and uncertainty analyses for various environmental models currently utilizes a series of 400 PCs linked together in a local area network. This bank of PCs, a functional equivalent to a supercomputer, allows for computationally intensive modeling experiments to be conducted. The methodology focuses on computing many simulations of a single model or modeling system application. The cluster is referred to as SuperMUSE – Supercomputer for Model Uncertainty and Sensitivity Evaluation.

The PC cluster and associated management software currently support 32-bit Windows-based operating system environs, and are capable of supporting Linux-based operating systems. To fully utilize this network of PCs, a variety of software tools have been developed using a standard database structure based on contemporary open-source MySQL. Many of the tools are model-independent, where example model dependent prototypes have also initially been developed for simulation of Version 1.x of the FRAMES 3MRA modeling technology.

This statement of work covers maintenance and enhancement of FRAMESv2, SuperMUSE 1.0 and 3MRA 1.x/2.x tools, and support in software development for additional tools for uncertainty and sensitivity assessment.

Background

The Framework for Risk Analysis in Multimedia Environmental Systems (FRAMES) - Multimedia, Multipathway, Multireceptor Risk Analysis (3MRA) software system is an integrated multimedia modeling system for assessing exposure and risks from the release of hazardous materials placed into a variety of land-based waste management units. The FRAMES 3MRA Version 1.0 (FRAMES 3MRA 1.0) software system was constructed to perform risk analyses for the U.S. Environmental Protection Agency (EPA) Office of Solid Waste to help establish constituent-specific "exit" (e.g., safe disposal) levels for low risk solid wastes. In the design of FRAMES 3MRA, the component-based approach provides for 1) standardized tools and techniques that are typically used in the assessment process, and 2) capabilities for new functionality to be added.

The FRAMES 3MRA 1.0 was originally designed to run on a single PC computer system. It was found that parallel execution across a number of machines would be valuable, helping to expedite simulation experiments needed for large, national-scale studies and various uncertainty and sensitivity analysis studies. The FRAMES 3MRA 1.x version of the software was designed and built to allow for, among other capabilities, parallel execution of the FRAMES 3MRA 1.0 modeling system across multiple machines. FRAMES 3MRA Version 2.0 software components, covered under this scope as well, represents a further, significantly enhanced software technology that replaces the system user interface with a more generic user interface concept.

To successfully control and implement the FRAMES 3MRA 1.x system so multiple (e.g., millions) runs can be simultaneously executed and tracked on the 400+ machines, a number of software tools have and are being developed to help manage the operation of the system, as well track files, warnings, and errors. Because the vocabulary can be daunting at times, a number of key components are defined as follows:

- ◆ Aggregated Exit Level Processor II Visualization (AggELP2Vis)—The AggELP2Vis is a program that performs many of the same operations as the AggELP2MySQL, but instead renders a hypertext markup language (HTML) document that shows all the scenarios in a single context. The original ELP2/RVP allows a user to see one chart at a time, whereas the AggELP2Vis allows the user to see all scenarios and impacts on populations, cohorts, distances, exposures, and receptors that are not specifically protected. A GNUPlot is used to generate the charts.
- Aggregated Exit Level Processor I for MySQL (AggELP1MySQL)—The AggELP1MySQL is a program logically identical to the original ELP1 with the simple change that the information is stored in a My Structured Query Language (MySQL) database instead of MS-Access. The resulting file is used as input to the AggELP2MySQL and the AggClientCollect.
- Aggregated Exit Level Processor II for MySQL (AggELP2MySQL)—The AggELP2MySQL is a program logically identical to the original ELP2/RVP that reads its inputs from the MySQL database. The results are tables stored in MySQL that are equivalent to the original Protective Summary Output Files. Setting the scenarios in this tool facilitates the AggELP2Vis in displaying all the scenarios simultaneously.
- Andres Iterated Fractional Factorial Design Dynamic Link Library (AIFFDDLL)—The AIFFDDLL is the Enhanced Computational Optimization Sensitivity Uncertainty(ECOSU) compliant implementation of a grouping and input changing strategy that seeks to determine which variables in a large number of variables change the output the most. It is a screening method for finding the most sensitive parameters. The AIFFDDLL is delivered as a set of subroutines and functions that are part of a dynamic library.
- Batch Tasker—This is a Model Tasker similar to the Command Tasker but without the restriction of executing commands on specific machines. The Batch Tasker consumes

"Technical Support forme Enhancement and Debuging of SuperMUSE V1, 3MRA V1/V2, and

Contract: EP-W-09-024, Work Assignment: 1-03

a text file where each line is a command. Each command is invoked in order on the next available machine.

- Central Processing Unit Allocator (CPU Allocator or CPUa)—The CPUa is responsible for making sure available machines are assigned to a Model Tasker running on some machine in the cluster. Every machine is a slave to a specific CPUa, as there can be multiple CPUa's. The Model Tasker, CPUa, and Tasker Client continuously communicate with each other.
- Client Collector for Aggregated Exit Level Processor I (CCAggELP1)—The CCAggELP1 is an application that reads two instances of the output of the AggELP1 and merges them into a single instance. This is used to collect the output of ELP1 in pairs across the cluster of machines. The CCAggELP1 is intended to collect simulation results from another single machine. A collection across a large number of machines can then be done by simply using CCAggELP1 to collect the results in pairs and then collect those results into pairs again, and so on until all the results are accumulated on a single machine. The client collect tool takes the results that are produced on each individual client and compiles them into a single database.
- Command Tasker—The Command Tasker is a specialized Tasker that is essentially a server-end batch file manager. The Command Tasker executes commands on specific machines in a specific order. It provides machine-specific commands, based on a set of prerequisite tasks and takes a series of commands, but confirms that specified previous commands have already been executed, thereby accounting for dependent commands (e.g., delete files in a certain order). Similar to the capabilities of the Update Client tool, and actually representing a Model Tasker, this tool delivers binary tree task dependencies in a collection of common aggregated data/files, or reversibly, in distribution of common data/files. The Command Tasker acts as a Model Tasker in managing activities across the cluster, allowing the user to issue commands to clients (e.g., DOS commands for Windows or shell scripts for Linux) that are executed by the Tasker Client. Extensively generic in form, it is currently used for conducting log-scale database collections for 3MRA experiments and for more quickly executing file-management tasks that take individual PCs substantial time to complete.
- Delegating Dynamic Link Library (DDLL)—This library is a single entry point for any ECOSU compliment DLL to provide sampling algorithms. For example, if Monte Carlo is chosen as a sampling approach, the DLL is responsible for redirecting all calls to sampling algorithms and all results to the actual Monte Carlo functionality.
- Enhanced System User Interface (ESUI)—The ESUI provides the user with an enhanced ability to pick and choose specific input combinations of chemical name, site ID, realization, and concentration of waste (Cw), so only that specific run or set of runs are executed and where one does not have to look through a large number of simulation sets to get to the specific run. All information is stored in the 3MRA header file [hd.ssf].
 - Enhanced 3MRA Chemical Properties Processor—This is a logically identical

chemical property processor that reads its input data from the cp.ssf file instead of reading the ASCII data file originally stored in the CPData directory. The site definition processor (SDP) will read the cp parameters as any other component; it will then call the enhanced CPPDLL. The CPPDLL is responsible for populating all the original values in the cp.ssf datafile from the data provided in the cp.ssf from the SDP.

- Enhanced 3MRA SUI Deterministic Switch—This is an addition to the Enhanced System User Interface (ESUI) that allows the user to choose the sampling technique and whether full sampling is accomplished or just a deterministic run. Under FY05 development, the ESUI will be able to run the DSP and allow the user to change the sampling algorithms as well.
- Enhanced 3MRA SDP Deterministic Switch—This switch is an addition to the SDP that allows the use of central tendency instead of actually sampling the value from the distribution. The changing value of the sampling technique is passed onto the Delegating Dynamic Link Library via this SDP enhancement.
- FRAMES-2.0—The Framework for Risk Analysis in Multimedia Environmental Systems- Version 2.0 (FRAMES-2.0) is a system that allows legacy disparate models and databases to communicate in a plug and play atmosphere. It combines many of the best features of FRAMES version 1 (e.g., Framework User Interface) and FRAMES 3MRA 1.0 (e.g., Application Programming Interface).
- FRAMES 3MRA—The Framework for Risk Analysis in Multimedia Environmental Systems (FRAMES)-Multimedia, Multipathway, Multireceptor Risk Analysis (3MRA) software system is an integrated multimedia modeling system for assessing exposure and risks from the release of hazardous materials placed into a variety of land-based waste management units.
- FRAMES 3MRA 1.0—The FRAMES 3MRA Version 1.0 software system was constructed to perform risk analyses for the EPA Office of Solid Waste to help establish constituent-specific "exit" (e.g., safe disposal) levels for low risk solid wastes. In the design of FRAMES 3MRA, the component-based approach provides for 1) standardized tools and techniques that are typically used in the assessment process, and 2) capabilities for new functionality to be added. The FRAMES 3MRA 1.0 was originally designed to run on a single PC computer system.
- FRAMES 3MRA 1.x—The FRAMES 3MRA 1.x version of the software was designed and built to allow for, among other capabilities, parallel execution of the 3MRA 1.0 modeling system across multiple machines. It was found that parallel execution across a number of machines would be valuable, helping to expedite simulation experiments needed for large, national-scale studies and various uncertainty and sensitivity analysis studies.
- FRAMES 3MRA 2.0—The FRAMES 3MRA Version 2.0 represents a further, significantly enhanced version of the FRAMES 3MRA 1.x software technology by

"Technical Support forme Enhancement and Debugging of SuperMUSE V1, 3MRA V1/V2, and

Contract: EP-W-09-024, Work Assignment: 1-03

replacing the system user interface with a more generic user interface concept.

- Framework User Interface Tasker (FUITasker)—The FUITasker modifies module inputs and either wraps the entire file set and sends it to the Tasker Client for further processing or performs the required processing locally. The FUITasker is a single looping capability for Framework for Analysis of Risk in Multimedia Environmental Systems (FRAMES) 2.0 that allows the user to change the value of any single parameter. The looping can be executed on a single computer (called serial mode) or on the cluster (called parallel mode).
- Latin Hypercube Dynamic Link Library (LHSDLL)—The LHSDLL is the ECOSU compliant implementation of the Latin Hypercube sampling algorithm. The LHSDLL is delivered as a set of subroutines and functions that are part of a dynamic library.
- Model Tasker—The Model Tasker is a type of a component that provides a listing of things to do and resides on some machine in the cluster. There are many examples of this type of component: the Batch Tasker, Command Tasker, SUI Tasker, and FUITasker are actual examples in use. The Model Tasker, CPUa, and Tasker Client continuously communicate with each other.
- Morris One-at-a-Time Dynamic Link Library (MOATDLL)—The MOATDLL is the ECOSU compliant implementation of a one at a time input changing strategy associated with Morris. The MOATDLL is delivered as a set of subroutines and functions that are part of a dynamic library.
- Process Error Program (PEP)—The PEP is program that is designed to read the errors and warning files produced by FRAMES 3MRA hwirio.dll and store them in a central MySQL database. The PEP is used to keep track of which components in the simulation have succeeded or failed. It provides the user with the ability to capture error and warning messages and store them in the same location as the Site Summary Tool (SST). It works on the assumption that when any component of the system software fails, an error or warning file is produced in the grf directory. The PEP simply copies the Warning or Error file from the grf directory to the MySQL database that is referenced in its command line and, therefore, has no user interface.
- Refactored Monte Carlo Dynamic Link Library (RMCDLL)—The RMCDLL is the ECOSU compliant implementation of Monte Carlo sampling. The RMCDLL is delivered as a set of subroutines and functions that are part of a dynamic library.
- Site Summary Tool User Interface (SSTUI)—The SSTUI allows the user to pick-and-choose output from a set of 3MRA model input and output files (site simulation file [SSF] and global results file [GRF] files) via the SST. For example, it will you allow you to define how to extract information for a variable for a specific chemical and location but averaged for all times. It allows one to statistically roll-up outputs.

- Site Visualization—This is a program that displays a plot of all results that have time as a dependent variable. It starts at the source and ends at human and ecological exposure. This application uses GNUPlot to generate charts while the application itself creates an HTML document that has the charts organized in a logical manner.
- Site Summary Tool (SST)—The SST is a program that allows the user to extract, summarize, and store modeling results in a database. The SST requires the user to create an instruction *.csv script file that describes what information to consume (i.e., extract) from model inputs and outputs for a single FRAMES 3MRA 1.x simulation. The SST extracts information from the SSF and GRF files, given a text file that describes the variable to be extracted and how to summarize those data. The results of the extract and summary are stored in a MySQL database.
- System User Interface Tasker (SUITasker)—The SUITasker reads a header file and buffers up compute jobs so no machines are waiting to execute a job. It passes RunAll.bat and then launches Run.bat, which is on all machines.
- Tasker Client—The Tasker Client is the workhorse of the parallel software system. It is a generalized batch file execution tool that uses transmission control protocol/Internet protocol (TCP/IP) to get the information about 1) the job it should contribute to and 2) the specific task it needs to perform. The task is communicated in a single Unicode Transformation Format (UTF) string that contains the batch file and a number of additional text files. It runs the actual jobs and is a slave to the CPUa and then to a Model Tasker to complete a computational task. When the Tasker Client has nothing to compute, it goes and finds something to compute from the CPUa. The Model Tasker, CPUa, and Tasker Client continuously communicate with each other.
- Tasker—In the parallel software system, a Tasker is any program that generates tasks that need to be performed and registers itself with the CPU Allocator. It is implemented as a TCP/IP server that waits for client machines to be directed to the Tasker by the CPU Allocator.
- Update Client—The Update Client 1) prepares the machines for use in the cluster, 2) copies new executables to all machines in the cluster, 3) reads list of computers, and 4) picks computers. Additional features include creating an input file for the command tasker that can collect, distribute, or invoke a command in parallel across the cluster. The Update Client tool facilitates the execution of Operating System (OS) level commands (e.g., DOS/Linux commands, batch/script files) on a large number of machines that comprise a cluster. There are two modes of operation: serial or parallel. The tool can be used, for example, to copy a single file to multiple machines, in serial or in parallel, using a binary tree scheme. In serial mode, it can also be used to perform a variety of file management tasks, such as deletion or alteration of file attributes across a network. The enhanced parallel-mode version can replace an additional set of variables with information from a partner machine.
- FRAMES V2— Not specifically listed and described by constituent item here,

various tools, processors, models and datasets comprise V2 and form the initial starting basis for work described under this, where many of these components have analogies to those described above for 3MRA V1/V2.

Tasks:

The following tasks list the specific work required.

Task 1: Workplan Development and Project Management

The objective of this task is to document a detailed workplan in response to the Work Assignment Scope of Work. The contractor shall document a technical plan and cost summary for conducting the assigned work. Upon approval from the EPA Work Assignment Manager the contractor shall initiate efforts.

Deliverables and Schedule:

1. Detailed workplan describing the technical approach to achieving the objectives of the work assignment.

Due date: 10 days after receipt of authorization to begin work. Within 30 days of delivery the EPA will document a response to the workplan.

2. Monthly reports: The contractor shall provide monthly reports describing technical progress and related resource status.

Due date: The contractor shall provide a monthly report on or before the 10th of each month during execution of the work assignment.

Task 2: Maintenance and Enhancement of SuperMUSE V1, FRAMES V2, and 3MRA V1/V2 Software Systems

The objective of this task is to provide software maintenance and enhancement support for the SuperMUSE 1.0, FRAMES V2 and 3MRA V1/2 software systems.

General Tasking to be Performed

Software maintenance tasking to be performed by the contractor will include:

- Telephone or email communications with the WAM or the WAM's technical support staff.
- Troubleshooting and resolution of bugs identified by EPA, and those bugs that arise out of testing and evaluation performed by the contractor,
- Development and/or revision of spreadsheet-based test plans, and

Execution of test plans.

Software enhancement tasking to be performed by the contractor will include:

- Telephone or email communications with the WAM or the WAM's technical support staff.
- Modification of existing software to address new requirements specified by EPA,
- Troubleshooting and resolution of bugs identified by EPA during subsequent testing, and those bugs that arise out of testing and evaluation performed by the contractor,
- Development and/or revision of spreadsheet-based test plans, and
- Execution of test plans.

Software documentation and test plans, currently located on USDA's COLAB Development Environment (https://colab.sc.egov.usda.gov/cb/workspace.do; 3MRA FRAMES V2 Project Area) will be the basis for evaluation of existing software requirements and functionality. Additional software requirements associated with component enhancements will be specified by EPA through Technical Directives associated with this statement of work.

Development, modification and/or enhancement of existing documentation (i.e., the formal documents which include sections on descriptions, requirements, design, and specifications) will be the responsibility of EPA.

In addition to revision, execution, and documentation of test plans, the contractor will also be responsible for providing brief summary descriptions (using notation and/or file management features of COLAB) on changes to design and specifications sections as may be needed to maintain and/or enhance software (e.g., brief statements indicating information that may need addition/modification, dictionary and/or database table structure definitions that may need addition/modification, etc).

Specific Technical Directives

The Agency will provide a written description of each request for work to be completed on specific software components, and the required schedule. These requests will be referred to as Technical Directives and will generally indicate: a) the software component(s) to be tested, de-bugged and/or enhanced, b) initial formulations of any new or modified software requirements, and c) a not-to-exceed number of hours of Senior Software Engineer (e.g., software development) time and Software Engineer (i.e. software testing) time that may be expended by the contractor on the given request. EPA will be responsible for posting an initial set of bugs to COLAB. New requirements desired by the Agency will each be posted to COLAB as a bug, with an indicator that the bug is associated with a new requirement.

It is anticipated that several components may be associated with a given request,

where work on individual components may or may not be directly related. It is also anticipated that more than one technical directive may need to be active at a given time to address new issues that may arise in bringing closure to an existing request.

Because a given bug cannot always be immediately associated with a given component, it is anticipated some components will be specified in the request that ultimately do not need modification.

While fulfilling a given Technical Directive, in the event an additional component(s) is identified by the contractor as needing enhancement or modification to achieve the original request, the contractor shall: a) post associated bugs on COLAB; and b) notify the WAM. As determined by the WAM, a new or modified request will be issued to handle associated software enhancements or modifications of the newly identified component.

The contractor may evaluate any existing SuperMUSE 1.0, FRAMESv2, and 3MRA V1/2 software codes for any component at anytime as needed to execute a given request (including execution of informal software testing by the developer), but shall not post enhanced or modified codes to COLAB, or conduct formal testing of any component, unless that component has been identified in a specific request.

Contractor Response to Specific Technical Directives

<u>Prior</u> to initiation of actual bug resolution or enhancement through software development efforts, the contractor will first:

- · Review the request,
- As needed review associated codes for components specified in the request, and
- Consult the WAM via telephone to discuss technical content of the request (e.g., to review and modify if necessary newly stated requirements, to discuss current software behaviors needing resolution, and to discuss initial technical approach to be taken to achieve software enhancement or modification).

For each request the contractor shall then execute the required enhancement/modification/testing, and deliver the resulting source code, software, test plans, and summary notations on design and specifications to the Agency via the COLAB development environment.

<u>During</u> execution of the WA, the contractor shall:

- Attempt to hold phone discussions with the WAM approximately biweekly to discuss technical progress on all active requests.
- Notify the EPA WAM via direct email or other automated COLAB email-based communication when a <u>successfully executed test plan (less Agency approval)</u> for a given component has been posted to COLAB.

In closing out a given request, the contractor shall provide a Summary Technical

Progress Report in email form to the WAM if one or more components were not completed due to lack of funds. In this case, the contractor shall briefly summarize (e.g., in simple table format) which deliverables were not completed for each component.

Processing and Documentation of Software Bugs

For each component, until successfully executed test plan (with Agency approval) status has been reached, it is anticipated that the Agency and the contractor may post new bugs that are identified during review and testing associated with a given request. All detailed notations on specific bugs to be resolved and bug resolution will be conducted via COLAB by both EPA and the contractor. Any new bug identified by the contractor during execution of this WA, which substantially changes existing specifications and design shall be posted to COLAB and appropriate notations provided (i.e., the Agency requires that all substantial changes made to the software are documented through COLAB bugs and COLAB notations for component design and specifications). Any bug identified but not resolved by the contractor during execution of this WA, which substantially affects attainment of the component's stated software requirements, shall also be posted to COLAB (i.e., the Agency requires that all known remaining software deficiencies identified by the contractor during testing be documented in COLAB). Minor bugs that are resolved during evaluation, modification, enhancement or testing that do no substantially affect existing design and specifications documentation need not to be notated in COLAB.

Total Task Level of Effort

For purposes of estimating resources for this task the contractor shall assume an overall level of effort of approximately 598 hours total of software development, software testing, and project management which will be split across the two tasks and associated Technical Directives.

Deliverables and Schedule:

Specific SuperMUSE 1.0, FRAMESZV2, and 3MRA V1/V2 Software components to be worked on by the contractor, and associated schedule, will be determined during execution of the WA. In evaluating content and acceptance criteria for deliverables, the following will generally apply:

> Successfully executed test plan status (less Agency approval) for a given software component requires that:

- 1. Specific requirements related to the functionality of the software must be documented (as provided by the WAM within the Technical Directives);
- 2. All identified software bugs have been resolved by the contractor or reconciled as future work to be completed by the Agency (e.g., some bugs may not be able to be resolved at this time within

current resources);

- 3. Summary notations on modifications and additions to design and specifications sections of formal documentation have been posted to COLAB by the contractor;
- 4. Executed and notated test plans have been posted to COLAB by the contractor which satisfy all component requirements; and
- 5. Source code and compiled software codes have been posted to COLAB.

Successfully executed test plan status (with Agency approval) for a given software component requires that:

- 1. Successfully executed test plan status (less Agency approval) has been attained by the contractor for the given software component;
- 2. The Agency has reviewed and approved the executed test plan (via email notification to the contactor).

Completion Status for a Specific Technical Directive

A specific Technical Directive will be deemed completed and no additional efforts should be expended by the contractor on the given request when either:

- 1. Currently approved hours associated with a given Technical Directive have been expended by the contractor and the contractor has provided a Summary Technical Progress Report for all components not completed,
 - 1.a. Based upon the WAM's assessment of degree of completion, the WAM may reauthorize the existing technical directive by adding additional hours to further complete the specific request. Alternatively, the WAM may also either choose to not expend additional effort, or otherwise roll some part or all of remaining tasking still to be completed into a new technical directive.
 - 1.b. In the event that the existing technical directive is re-authorized with additional hours and associated level of effort, the WAM will notify the contractor and EPA's Project Officer by re-issuing and notating the original technical directive, indicating both the previous authorized level already expended, the additional level (i.e., added hours) of effort that may be expended by the contractor, and priorities for the additional level of effort.

or

2. Successfully executed test plan status (with Agency approval) has been

attained for all components identified in the request.

Special Conditions

- 1. All requests related to execution of the technical support described within this WA shall be coordinated through the EPA WAM.
- 2. The contractor shall not respond to requests or inquiries made by others individuals except where made by technical support staff approved by the WAM.
- 3. It is the responsibility of the contractor to ensure that a <u>Summary Technical Progress Report</u> for all components can be completed for a given request and delivered to the WAM prior to expending all hours for a given request (i.e., as necessary, final hours available for a given request should be used for this tasking).

III. POINT OF CONTACT

Work Assignment Manager:

Justin Babendreier ORD/NERL/ERD Regulatory Support Branch 960 College Station Road Athens, GA 30605

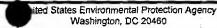
Office: (706) 355-8344 Fax: (706) 355-8302

Email: babendreier.justin@epa.gov

1



Contract Number EP-W-09-024



Work Assignment

Contract Period

Option Period Number Base

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	Assignment	Number
	rasigninent	MAILING

[] Original [X] Amendment

Title of Work Assignment

"Support for Renovation, Repair, and Painting Rule"

Contractor BATTELLE MEMORIAL INSTITUTE

Specify Section and Paragraph of Contract SOW See attached Statement of Work

Purpose: [] Work Assignment Initiation

Work Assignment Close-Out

Periods of Performance

[X] Work Assignment Amendment [] Work Plan Approval

Incremental Funding

From: 06/25/10

то:06/22/11

Comments:

This amendment approves the Technical and Financial Work Plan dated 09 July 2010, at a cost of \$344,985.00. Currently, there are 1,500 Professional Labor Hours allocated for this Work Assignment.

[] Superfund Accounting and Appropriations Data [X] Non-Sup										[X] Non-Superfund			
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	Work Assignment Manager Name						Branch	/Mail Code	74041				
RO	DNALD J.	MORO	YN			:	Phone Number (202) 566-0474						
_		(Signature)				(Date)	Fax Number (202) 566-0469						
Pr	oject Officer N						Branch	Branch/Mail Code7404T					
SI	NETA W	OOTEN					Phone Number (202) 566-0501						
_		(Signature)				(Date)	Fax Nu	mber (20	2) 566	-0469			
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C	HRISTINE	EDW	1205	Soft	e/a/	<i>)</i>)	Phone	Number (2	(02) 5	64-2182			
-		(Signature)	2000	avit	7.7/	(Date)	Fax Nu	mber					
C			ent of Receipt ar	nd Approval of Workpla	an (Signature and Title)				Date				

"Support for Renowtion, Repair, and Painting Reco" Contract: EP-W-09-024, Work Assignment: 1-04, Amendment: 0001

Summary Information

Title:

"Support for Renovation, Repair, and Painting

Period of Performance: From: 06/25/10

To:

06/22/11

Award Date:

06/25/10

Total Funding:

WA Totals

The following item(s) have been added:

Category

POP

Amount

Estimated Cost

Option 1

Fixed Fee

Option 1

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Page: 2

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Work Assignm	ent Manager	Name		to be		Branch/Mail Code 7404T						
RONALD.	J. MORO	YN				Phone Number (202) 566-0474						
	(Signature)				(Date)	Fax Number (202) 566-0469						
Project Officer					(Date)	Branch/Mail Code 7404T						
SINETA W	OOTEN						Number (2	_	6 <u>0501</u>	*		
(Signature) (E						_		_				
(Signature) Other Agency Official Name						Fax Number (202) 566-0469						
Other Agone,	William France					-	Mail Code Number					
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CHRISTIN	X	Thea.	As .	66	da	Phone	Number (2	02) 56	4-2182			
Color	(Signature)	20000		CE/ a	(Date)	Fax Nu	mber					

Date

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

"Support for Renovation, Repair, and Painting Rule"

Contract: EP-W-09-024, Work Ass

Summary Information

Title: "Support for Renovation, Repair, and Painting

Rule"

Period of Performance: From: 06/25/10

To: 06/22/11

Award Date: Total Funding:

Procurement Management Roles

WORK ASSIGNMENT MANAGER:

U.S. E.P.A.

Attn: RONALD J. MORONY 1200 PENNSYLVANIA AVE, NW WASHINGTON, DC

Mail Code: 7404T

Phone Number: (202) 566-0474 Fax Number: (202) 566-0469

E-Mail Address: morony.ronald@epa.gov

ALTERNATE WORK ASSIGNMENT MANAGER:

U.S. E.P.A.

Attn: CLARENCE O. LEWIS 1200 PENNSYLVANIA AVE, NW WASHINGTON, DC 20460

Mail Code: 7404T

Phone Number: (202) 566-1243 Fax Number: (202) 566-0469

E-Mail Address: lewis.clarence@epa.gov

Attachments

Attachment Name

"Support for Renovation, Repair, and Painting Rule"

Page: 2

Contract Number EP-W-09-024

Work Assignment Number: 1-04

Change Number: 0

Title: Support for the Lead-Based Paint Program

Purpose: To provide technical support of the implementation of the Renovation, Repair and Painting Program as well as all other aspects of the Lead-Based Paint Program. This is continuation of work begun under work assignment 0-04 of this contract. No work shall be duplicated.

A. Background: Title IV of the Residential Lead-Based Paint Poisoning Prevention Act requires EPA to undertake various actions to reduce the incidence of lead poisoning. These actions include technical studies to support rule making, outreach to the regulated community, outreach to the public and support of the regulatory functions.

B. Scope of Work:

Task 1 RRP Logo Site

The contractor shall develop and maintain the web site where certified renovation firms can access and download the RRP logo with their own certification number. The contractor shall also provide and email address and phone number to answer technical questions on the downloading of the RRP logo. At times it may be necessary to link that email address to a staff person from EPA.

Task 2 Cleaning Verification Cards

When directed by the WAM, the contractor shall provide Cleaning Verification Cards that meet the quality control standards previously developed. The cards shall be shipped to the National Lead Information Center in Rochester, NY. It is anticipated that the cards will be produced in batches of 150,000. Assume that 450,000 cards will be ordered during this contract year.

Task 3 Support of the Outreach Efforts at Trade Shows

When directed by the WAM, the contractor shall purchase exhibit space at trade shows and shall staff the EPA provided booth. These services include shipping the EPA booth to the show and returning it to a location designated by the WAM. Also include in this task is travel to the shows, paying for incidental fees such as drapes, delivery charges, etc.

Task 4 Technical Studies



When directed by the WAM the contractor shall provide technical studies in support of rule making. These studies include but are not limited to literature searches, convening expert panels or physical demonstrations. The exact nature of the study will be specified by the WAM.

Task 5 Support of the Development of Publications

When directed by the WAM, the contractor shall provide services to create or modify publications related to National Program Chemicals. This includes but is not limited to development of drafts, mock ups and final copy ready for printing. The documents must be 508 compliant and be delivered in a format specified by the WAM.

Task 6 Modification to Training Courses and Third Party Exams

When directed by the WAM, the contractor shall provide services to create or modify Training Courses or Third Party Exams. This includes but is not limited to development of drafts, mock ups and final copy ready for printing or deployment to the Third Party Exam provider. The documents must be 508 compliant and be delivered in a format specified by the WAM.

C. Deliverables:

Task 1. This task is ongoing. The activity shall be reported in the monthly report.

Take 2. Delivery of the cards to the NLIC. The delivery shall be documented in the monthly report.

Task 3. A report on the show within 3 weeks of attendance.

Tasks 4, 5 and 6. As specified in the technical direction..

A work plan is not required.

A OA/OC plan is not required.

CBI does not apply.

This work assignment relates to Tasks II, III and IV of the current Statement of Work (SOW) of the contract.

D. Period of Performance:

This work assignment will start on the date of the contracting officer's signature and extend through June 22, 2011.

E. Level of Effort:

This work assignment shall require no more than 1500 more professional hours.

F. EPA Contacts:

Work Assignment Manager:

Ronald J. Morony
US EPA National Program Chemicals Division
Program Assessment and Outreach Branch (7404T)
1200 Pennsylvania Avenue, NW
Washington, DC 20460
Ph: 202-566-0474

Fax: 202-566-0474

Deputy Work Assignment Manager:

Clarence Lewis
US EPA National Program Chemicals Division
Lead, Heavy Metals and Inorganics Branch(7404T)
1200 Pennsylvania Avenue, NW
Washington, DC 20460

Ph: 202-566-1243 Fax: 202-566-0469

OEDA		ironmental Protection ngton, DC 20460	Agency	Work Ament Number					
⊕EPA	Work A	Assignme	nt	[] Original [X] Amendment Number:3					
Contract Number	Contract Period			Title of Work Assignment	ent Number:3				
EP-W-09-024		ption Period Number	1		for PCB Permit and				
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Contractor BATTELLE MEMORIA	AL INSTITUTE			and Paragraph of Contract SC ed Statement of Worl					
Purpose: [Work Assignr	nent Initiation [] Work Ass	ignment Close-Out		Periods of Performance					
[X] Work Assig	nment Amendment [] Incre	mental Funding		From: 07/08/10	то:06/22/11				
[X] Work Plan	Approval								
Comments: This amendment approach of \$81,022.00. C					, at a				
[] Superfund	Acco	unting and A	ppropriatio	ns Data	[X] Non-Superfund				
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4									
5	Auth	orized Work	Seignment	Ceiling					
Contract Period:	Cost/Fee	JIIZCU WOIK	- Solgimient	LOE					
Previously Approved	\$17,898	3.00		766					
This Action	\$81,022	2.00		(27)					
Total	\$98,920	00.0		739					
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Contractor WP Dated: 10/21/	'10 Cost/Fee:\$	81,022.00		LOE:-27					
Cumulative Approved: 12/03/		98,920.00		LOE:739					
Work Assignment Manager Nan	ne			Branch/Mail Code5303P					
WINSTON M. LUE				Phone Number (703) 3	05-1617				
(Signature)			(Date)	Fax Number (703) 308	3-8638				
Project Officer Name				Branch/Mail Code 74047					
SINETA WOOTEN				Phone Number (202) 5	66-0501				
(Signature)			(Date)	Fax Number (202) 566	6-0469				
Other Agency Official Name				Branch/Mail Code					
				Phone Number					
(Signature)			(Date)	Fax Number					
Contracting Official Name				Branch/Mail Code 3803F	2				
CHRISTINE EDWARI	S. francis	1-1	11/	Phone Number (202) 5	64-2182				
(Signature)	medi	14	3//0 (Date)	Fax Number					
	Receipt and Approval of Workp	tan (Signature and Ti		Date					

"Technical Support f CB Permit and Document evelopment"

Contract: EP-W-09-024, Work Assignment: 1-05, Amendment: 0003

Summary Information

Title:

"Technical Support for PCB Permit and Document

Development*

Period of Performance:

From: 07/08/10 To: 06/22/11

07/08/10

Total Funding:

Award Date:

07/08

Procurement Management Roles

The following item(s) have been modified:

ADMINISTRATIVE CONTRACTING OFFICER:

U.S. E.P.A.

Attn: CHRISTINE EDWARDS 1200 PENNSYLVANIA AVE, NW WASHINGTON, DC 20460

Mail Code: 3803R

Phone Number: (202) 564-2182

Fax Number:

E-Mail Address: edwards.christine@epa.gov

WA Totals

The following item(s) have been modified:

Category	POP	From	Ву	To
Estimated Cost Fixed Fee	Option 1 Option 1	\$ (b)(4)		

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 766 to 739.

Note													
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Note		MEMOR	IAL INST	ITUTE									
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Authorized Work Assignment Ceiling Contract Period: Previously Approved \$17,898.00 \$148 This Action \$0.00 \$17,898.00 \$17,898.00 \$17,898.00 \$17,898.00 \$18 Work Plan / Cost Estimate Approvals Contractor WP Dated: Cost/Fee: LOE:618 Cumulative Approved: Cost/Fee: LOE:618 Cumulative Approved: Cost/Fee: LOE:766 Wink Assignment Manager Name WINSTON M. LUE Phone Number (703) 305-1617 Fax Number (703) 308-8638 Branch/Mail Code 7404T Phone Number (202) 566-0501 Fax Number (202) 566-0501 Fax Number (202) 566-0501 Fax Number (202) 566-0469 Contracting Official Name Silignature Cost Fax Number Silignature Cost Fax Nu	(Max 6)			Budget Org/Code (Max 7)		Object Class	Amount (Dollars)	(Cents)					
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Work Plan / Cost Estimate Approvals	This Action			\$0.00			618						
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Project Officer Name	Cumulative Ap	proved:		Cost/Fee:\$	17,898.00		LOE:	766					
(Signature) (Date) Fax Number (703) 308-8638 Project Officer Name Branch/Mail Code 7404T Phone Number (202) 566-0501 (Signature) (Date) Fax Number (202) 566-0469 Other Agency Official Name Branch/Mail Code Phone Number (Signature) (Date) Fax Number (Signature) (Date) Fax Number Phone Number Fax Number	Work Assignm	ent Manager N	lame				Branch/Mail Code	5303P					
Branch/Mail Code 7404T	WINSTON	M. LUE					Phone Number (703) 30	5-1617				
SINETA WOOTEN Phone Number (202) 566-0501 (Signature)		(Signature)				(Date)	Fax Number (70	3) 308-	8638				
(Signature) (Date) Fax Number (202) 566-0469 Other Agency Official Name Branch/Mail Code Phone Number (Signature) (Date) Fax Number Contracting Official Name DENNIS J. BUSHTA	Project Officer	Name					Branch/Mail Code	7404T					
Other Agency Official Name (Signature) Contracting Official Name DENNIS J. BUSHTA (Signature) (Date) (Date) Branch/Mail Code Phone Number Branch/Mail Code3803R Phone Number (202) 564-2182 Fax Number	SINETA W	OOTEN					Phone Number (2	202) 560	6-0501				
Other Agency Official Name Branch/Mail Code Phone Number		(Signature)				(Date)	Fax Number (20	2) 566-	0469				
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B Permit and Document Delopment" "Technical Support for

Contract: EP-W-09-024, Work Assignment: 1-05, Amendment: 0002

Summary Information

Title:

"Technical Support for PCB Permit and Document

Development"

Period of Performance:

From: 07/08/10 To:

06/22/11

Award Date: Total Funding: 07/08/10

Procurement Management Roles

The following item(s) have been modified:

ADMINISTRATIVE CONTRACTING OFFICER:

U.S. E.P.A. Attn: DENNIS J. BUSHTA 1200 PENNSYLVANIA AVE, NW WASHINGTON, DC 20460

Mail Code: 3803R

Phone Number: (202) 564-2182

Fax Number:

E-Mail Address: edwards.christine@epa.gov

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 148 to 766.

Page: 2

Populates Environmental Protection Agency Washington, DC 20460 Work Assignment						Work Assignment Number					
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Contractor	E MEMO	RIAL INST	TI ITE		Specify Sections see attach				V		
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Work Assign	ment Manager	r Name				Branch	Branch/Mail Code5303P				
WINSTO	N M. LUE					Phone	Number (7	703) 30	5-1617		
	(Signature)				(Date)	Fax Nu	Fax Number (703) 308-8638				
Project Office					(Duit)	-	/Mail Code				
SINETA I	VOOTEN	ı				-	Number (2	-	6-0501		
	(Signature)				(Date)	Fax Nu	Fax Number (202) 566-0469				
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(Signature) (Date)						Fax Nu	mber				
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CHRISTII	NE EDW	ARDS /	1	_ /	,	Phone Number (202) 564-2182					
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"Technical Support f CB Permit and Document Development"

Contract: EP-W-09-024, Work Assignment: 1-05, Amendment: 0001

Summary Information

Title:

"Technical Support for PCB Permit and Document

Development"

Period of Performance: From: 07/08/10

To:

06/22/11

Award Date:

07/08/10

Total Funding:

WA Totals

The following item(s) have been added:

Category	POP	Amount
Estimated Cost Fixed Fee	Option 1 Option 1	\$ (b)(4)

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 113 to 148.

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Total		\$0.00				113					
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Contractor WP Dated :		Cost/Fee:				LOE;					
Cumulative Approved:		Cost/Fee:\$	0.00		LOE:113						
Work Assignment Manag					Branch/Mail Code5303P						
WINSTON M. LU	-				Phone	Number (7	03) 30	5-1617			
(Signature	,			(Date)	Fax Number (703) 308-8638						
Project Officer Name	-			(2007)	Branch/Mail Code 7404T						
SINETA WOOTE	N				-	Phone Number (202) 566-0501					
(Signature Other Agency Official Nar			Fax Number (202) 566-0469 Branch/Mail Code								
Other Agono, Canada	ile.		6		-	Mail Code Number					
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Contracting Official Name					Branch	/Mail Code	3803R				
	, ,	Edwar	1	-11.		/Mail Code Number (2			-		

Date

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

"Technical Support for PCB Persit and Document Developm Contract: EP-W-09-024, Work Assign t: 1-05

Summary Information

Title: "Technical Support for PCB Permit and Document

Development"

Period of Performance: From: 07/08/10

To: 06/22/11

Award Date: Total Funding:

Procurement Management Roles

WORK ASSIGNMENT MANAGER:

U.S. E.P.A. Attn: WINSTON M. LUE 1200 PENNSYLVANIA AVE, NW WASHINGTON, DC 20460

Mail Code: 5303P

Phone Number: (703) 305-1617 Fax Number: (703) 308-8638

E-Mail Address: lue.winston@epa.gov

ALTERNATE WORK ASSIGNMENT MANAGER:

U.S. E.P.A.

Attn: AMY R. HENSLEY 1200 PENNSYLVANIA AVE, NW WASHINGTON, DC 20460

Mail Code: 5303P

Phone Number: (703) 305-5084 Fax Number: (703) 308-0509

E-Mail Address: hensley.amy@epa.gov

Attachments

Attachment Name

SOW entitled, "Technical Support for PCB Permit and Document Development"

Page: 2

STATEMENT OF WORK

Contract Number: EP-W-09-024, Option 1

Work Assignment: <u>0-05</u>

Title: Performance Based Work Assignment -Technical Support for PCB Permits and Document Development

Background:

The Toxic Substance Control Act (TSCA) of 1976 requires EPA to develop rules to regulate the manufacture, processing, distribution in commerce, use, or disposal of chemical substances. Section 6(e) of the Act specifically names polychlorinated biphenyls (PCBs), requiring rules to specify methods for the disposal of PCBs.

Regulations promulgated in Subpart D of 40 CFR 761 authorize EPA Headquarter to issue PCB disposal approvals, valid nationwide, to mobile disposal facilities and fixed facilities as well as issue PCB alternative decontamination approvals. TSCA regulations delegate signatory authority to the Assistant Administrator of the Office of Solid Waste and Emergency Response (OSWER) for permits issued by EPA Headquarters. In FY 2008, EPA transferred the administration and implementation of the Toxic Substances Control Act's (TSCA) Polychlorinated Biphenyl (PCB) Cleanup and Disposal Program from the Office of Prevention, Pesticides and Toxic Substances (OPPTS) to the Office of Solid Waste and Emergency Response (OSWER).

Individuals seeking approvals to dispose of PCBs or decontaminate PCB-contaminated materials must submit a permit application and a demonstration plan for EPA review. EPA reviews the permit application for completeness. The application must include the demonstration plan indicating a demonstration can be performed safely with a good probability of success. Once the application review is complete, EPA will require the company to demonstrate the operation of its technology under reasonable worst case operating conditions. EPA will issue an approval to operate the alternative disposal or decontamination technology once the company has demonstrated their PCB disposal or decontamination process is effective, the technology is capable of processing PCB material without frequent breakdowns, and does not present unreasonable risks to health and the environment.

Typically, PCB disposal technologies are classed into three categories, (a) incineration, (b) thermal alternative technology, and (c) non-thermal alternative technology. Alternative technologies include surface and aqueous media decontamination processes. The alternative disposal technology must be demonstrated in the presence of EPA evaluators. During the demonstration, EPA will collect samples of materials before and after treatment to confirm the PCBs were destroyed. Upon confirmation of PCB destruction, EPA will issue an approval for the technology.

I. Purpose:

Any person wishing to dispose of PCBs must use approved methods and must obtain an approval. Several methods for disposal and decontamination are listed in §761, but alternative technologies for disposal and decontamination may be used if an approval is granted by the EPA. Persons can apply to the EPA for approval of PCB disposal by non-thermal alternative methods (§761.60(e)), alternative decontamination procedures (§761.79(h)), thermal alternative methods (§761.60(e)), and incineration (§761.70). EPA must confirm the PCB Disposal and decontamination technologies demonstrated by permit applicants comply with EPA requirements. To accomplish this, EPA will require contractor support.

At the direction of the Work Assignment Manger, the Contractor shall prepare and ship sampling kits to sites designated by the WAM. EPA will collect samples during the PCB Disposal or Decontamination Demonstration, pack the samples, and send the samples to the Contractor. The Contractor shall analyze samples collected by EPA to confirm the technologies destroy and/or remove PCBs from various waste feed matrices or materials. The Contractor shall prepare QA samples in a variety of matrices for EPA to evaluate the laboratory facilities to be used by the applicant during commercial PCB Disposal or Decontamination operation or during the PCB Disposal or Decontamination demonstration. The Contractor shall transmit preliminary analytical results of the demonstration samples to EPA. These preliminary results will assist EPA in determining the efficacy of the new disposal or decontamination technologies.

The Contractor shall develop a document that will help persons apply for approvals for alternative technologies under §761. The document will discuss requirements for approval applications, demonstration test plans, demonstration test reports, as well as describe the approval process and how to conduct a demonstration. Other elements may be requested by the WAM.

The contractor shall also develop other documents that will provide information to the regulated community on how to cleanup and dispose of PCBs in compliance with the PCB Regulations (§761). These documents will help persons apply for disposal and cleanup PCB approvals from the EPA.

II. Scope of Work:

A. <u>PCB Disposal and Decontamination Demonstrations</u>. There are approximately five possible demonstrations covered under this Work Assignment. Generally, EPA collects a set of samples for starting material or feed, samples of treated material and samples of process waste. At times, in addition to the standard samples for feed, process streams, and process waste, questionable process or waste streams may be sampled to clarify regulatory status of the material. Also, blind QA audit samples may, at the direction of the WAM, be shipped to the laboratory selected to perform the permit applicant's product analysis during commercial operations. For the different types of demonstrations, the estimated number of samples and type of samples to be collected by EPA for analysis are listed below. Possibility exists that one of the demonstrations may involve sampling and analysis of low radioactive material.

- 1 Alternative Thermal technology approval. Feed and treated material may contain low radioactive substances.

 Samples: Liquid or non-liquid feed (3), treated material (3), water discharge (3),
- QA samples (3).
- 2 Alternative Non-thermal technology approval. Feed and treated material may contain low radioactive substances.

Samples: Liquid or non-liquid feed material (3), treated material (3), water discharge (3), QA samples (3).

- 3 Alternative decontamination approval. Samples: Wipe samples before treatment (3), wipe samples after treatment (3), QA samples (3), water discharge (3).
- B. <u>Documents on PCB Cleanup and Disposal</u> PCB Any person wishing to dispose of PCBs must use approved methods and must obtain an approval. The person must first submit an application package to their EPA Regional Office or to EPA Headquarters, depending on the signing authority for their approval. For disposal approvals, demonstrations are often required, which involve submission of test plans and test results to the EPA. This Work Assignment covers the development of documents that describe the components of and level of detail needed for PCB disposal or cleanup approvals.

B. Work Tasks

Task 1. Task Management

The Contractor shall prepare and submit a work plan. Work under this task shall include participating in conference calls, meetings, preparing the monthly progress report and other task management. This assignment requires a QA/QC plan. EPA will review and comment on the work plan and the QA/QC plan within 45 days. This statement of work also requires the use of TSCA CBI.

Task 2. Preparation of a QA/QC Plan.

The Contractor shall prepare a Quality Assurance Project Plan for the analysis of all collected samples during the duration of this work assignment. The Quality Assurance Plan will follow the format and requirements as specified in "EPA Requirements for Quality Assurance Project Plans (QA/R-5)" (2001, EPA/240/B-01/003). A draft of that plan will be submitted for review by the WAM. The Contractor shall incorporate the comments and submit a final version of the Quality Assurance Project Plan.

NOTE: The tasks below represent all of the possible items that may be required by EPA to support the PCB cleanup and disposal program. Written technical direction will be provided by the WAM which will specify the items and quantities needed for each permit.

Task 3. Sample Collection and Analysis

A. EPA will observe on-site the PCB Disposal or Decontamination Demonstrations and will collect samples and transfer the samples to the Contractor. The Contractor shall analyze the samples appropriately, as outlined below.

(1) For analysis of polychlorinated biphenyls (PCBs), the Contractor shall analyze samples for classes of PCB compounds named Aroclor. These compounds include but are not limited to the following:

Aroclor 1242 Aroclor 1264 Aroclor 1254 Aroclor 1016 Aroclor 1260

- (2) For analysis of PCBs, the Contractor shall provide analytical instrument capability and methodologies to analyze and to identify the 209 congeners of polychlorinated biphenyls.
- (3) For analysis of PCBs, the Contractor shall provide analytical instrument capability and methodologies to analyze and to identify PCBs, separating and quantitating the identified PCBs in homologs from mono- to deca-chlorinated biphenyls. The analytical standard to be used shall be the Dry Color Manufacturer Association (DCMA) standard or equivalent.
- (4) The Contractor shall transmit analytical results of the demonstration samples to EPA in three stages. First, the raw data will be submitted by telephone or email as directed by the WAM. These results will assist EPA in determining the efficacy of the new disposal or decontamination technologies. Second, the Contractor shall prepare a draft digital report. Third, after receiving comments from the WAM, the Contractor shall then prepare the final analytical results which incorporate the WAM's comments.
- (5) The Contractor shall analyze for other pollutants of interest as directed by the WAM. For example, PCBs in the U.S. is in short supply. The possibility exists that surrogates for PCBs may necessarily be used during PCB Disposal or Decontamination Demonstration. Should surrogates be used, the Contractor shall analyze samples for the surrogates. An example of a surrogate is trichlorobenzene.
- B. Sample Media. The Contractor shall implement analytical methods suitable to the medium of interest. Examples of media include crankcase oil; mineral oil; solvents such as ethylene glycol; soils such as clay, sediment or sand; fly ash; and clinkers.
 - C. Sampling Kit.

- (1) The Contractor shall provide sampling kits (described below) for each demonstration suitable for the collection of samples of various media, but not limited to bulk solids such as soil; and bulk liquids such as fuel oil, solvents and water.
- (2) The Contractor shall provide a sampling kit suitable for the collection and analysis of samples from porous surfaces (concrete, paint) and non-porous surfaces (metal).
- D. For thermal technologies including incineration, the Contractor may be requested by the WAM to observe the collection of samples from various process streams and obtain split samples for analysis by the Contractor.
- E. The Contractor may be requested to provide personnel with appropriate experience and appropriate certificates to take the samples for any of the technologies and any of the media.
- F. The Contractor shall submit a preliminary analysis to the WAM for review and comment. Upon receipt of the comments the Contractor shall incorporate the comments into the final report.

Task 4. PCB Disposal and Decontamination Demonstration Requiring Review of Sampling Protocols

- A. For thermal technologies including incineration, the Contractor may be requested by the WAM to review the applicant's demonstration trial burn plan, to determine/plan the work schedule. Contractor should already be familiar with the process and equipment, from previous work with identical incinerator equipment.
- B. For thermal technologies including incineration, the Contractor may be requested to determine if the applicants' stack emission sampling protocols to be used during the trial burn comply with EPA standards.

Task 5. Sampling Kit for PCB Disposal and Decontamination Demonstrations

The Contractor shall provide, at the direction of the WAM, a sampling kit for EPA PCB Disposal or Decontamination technology evaluators. Sampling items are to be shipped in a cooler ranging in size from one (1) gallon to ten (10) gallons, as appropriate. Packing material must be provided and used as appropriate to minimize breakage of items.

At minimum, the following items shall be provided in the shipping cooler:

- A. Traceability Log Forms (3 sheets minimum)
- B. Quadruplicated bar codes in self-adhering format (3 sheets 15 codes minimum per sheet). Traceability forms must accommodate bar codes and sample description.
- C. Labels for sample containers to identify samples.

D. Disposable gloves (12 pairs minimum)

- E. Wide mouth 100 ml. sampling jars, or 40 ml. vials "VOC" sampling type, or a mixture of jars and vials as specified by WAM.
- F. Spatulas, two medium size, metal
- G. One fine tip marker, waterproof
- H. Two writing pens, ball point or fine felt tip
- I. "Blue ice" or chemical ice pack for sample preservation
- J. Evidence tape, 2 feet in length
- K. Shipping bill or air bill prepared for shipping samples to Contractor on overnight basis
- L. "Zip locking" plastic bag to protect documents
- M. Extra sampling containers in case of breakage or process anomaly
- N. Paper towels, e.g. "Kimwipes"

Blind QA audit samples shall be prepared to evaluate laboratory(s) designated by applicants to analyze samples for the demonstration or for commercial operations. The audit sample(s) may be prepared using various media such as sand, oil or water. Optional items below, which are required at times, specified by the WAM, for specific projects.

- O. One-liter jars for aqueous samples, quantity to be specified.
- P. Wipe Sampling Kit:
 - (1) Folded cotton gauze pad (e.g. 4"x4"), inserted in 100 ml wide mouth jar
 - (2) Gauze pad saturated with solvent (e.g. hexane)
 - (3) Template for wiping 100 centimeter square area or as specified
 - (4) Template disposal or reusable, as specified
 - (5) Quantity to be specified by WAM
 - (6) Solvent to be specified by WAM
- O. Spoon or other instruments for sampling

Task 6. Further Development of Document on PCB Cleanup and Disposal Approval Applications

Further develop and update a document entitled "Guidelines for Approval Applications and Demonstration Test Plans for PCB Disposal by Non-Thermal Alternative Methods, Thermal Alternative Methods, and Incineration."

The Contractor shall develop a final document which may be distributed to persons desiring a PCB Disposal Approval. The Contractor shall incorporate comments from Regional Offices and Headquarters on the draft documents, as directed by the WAM.

Task 7. Develop documents on PCB Cleanup and Disposal.

As directed by the WAM, the Contractor shall develop documents which may be distributed to persons desiring PCB cleanup or disposal approvals. The contractor shall prepare a draft of the document. The Contractor shall incorporate comments from Regional Offices and Headquarters into the draft document, as directed by the WAM.

III. Deliverables:

Task 1. Within 30 days of issuance of contract, the Contractor shall submit a Work Plan for review and acceptance.

Task 2. Within 30 days of issuance, the Contractor shall submit a QA/QC Plan for review and acceptance.

Task 3. Results. Within two weeks of receipt of samples unless otherwise approved by the WAM, Contractor shall submit raw data of the sample chemical analysis. These raw data shall be transmitted in the form of a phone call or email as directed by the WAM. Within three weeks of the receipt of the samples the Contractor shall provide a draft digital report of the chemical analysis. When the Government provides comments on the draft digital report the Contractor shall produce a final report within 30 days of the receipt of the Government's comments. The final report shall be in pdf or other format (.doc) as specified by the WAM.

Task 4. Within 20 days of receipt of a copy of the permit applicant demonstration plan, the Contractor will review and submit a summary report of the demonstration plan.

Task 5. Within 7 days of request by the WAM, the Contractor will ship a sampling kit to the demonstration site for use by EPA or its representative.

Task 6. Within 30 days of receiving the draft document to be developed, the Contractor shall give a draft for EPA review, both hard copy and electronic copy. Upon receipt of comments from the WAM, the Contractor shall incorporate those comments within 30 days. After the WAM specifies that no further comments are forthcoming, the Contractor shall submit a final document in Microsoft Word format or other format as specified by the WAM.

Task 7. Within 30 days of receiving direction from the WAM to develop and update the document, the Contractor shall give a draft for review, both hard copy and electronic copy. Upon receipt of comments from the WAM, the Contractor shall incorporate those comments within 30 days. After the WAM specifies that no further comments are forthcoming, the Contractor shall submit a final document in Microsoft Word format or other format as specified by the WAM.

A Work Plan is required.

EPA will approve the work plan within 45 days.

A QA/QC plan is required

CBI does apply.

Work previously performed under this WA shall not be duplicated.

This work assignment relates to "Task 3. Sample Collection and Analysis" and "Task 4.

PCB Disposal and Decontamination Demonstrations Requiring Review of Sampling Protocols" of the current Statement of Work (SOW) of the contract.

The contractor's performance shall be judged by 1) timeliness in meeting the four week deadline for submission and 2) completeness by including all the required QAP elements. See section on Performance Measures below.

Performance Measures:

The government shall review the promptness of submitting the Field Study QAP as required in this WA. If the contractor is late by more than 14 calendar days, from the due date specified in the WA, on the QAP, the government shall take a 10% reduction in the fee associated with the QAP. The reduction shall be applied to all fees, both the paid fee and unpaid fee.

The government shall review the completeness of the QAP as required in this WA. If the contractor's QAP is missing one or more of the required elements, as listed in the WA, the government shall take a 10% reduction in the fee associated with this WA. The reduction will be applied to all fees, both the paid fee and the unpaid fee.

The government shall review the results of the physical testing as required in the Tasks of this WA. If the contractor has failed to perform the physical testing in accordance with the latest approved QAP for that element, the government shall take a 30% reduction in the fee associated with that work. The reduction will be applied to all fees, both the paid fee and the unpaid fee.

IV. Period of Performance:

This work assignment will start on the date of the contracting officer's signature and extend through June 2, 2011.

V. Level of Effort:

This work assignment shall require 113 professional hours.

VI. EPA Contact:

Work Assignment Manager:

Winston Lue Mail Code 5303P 1200 Pennsylvania Ave NW Washington, DC 20460 Phone: (703)305-1617

Fax: (703)308-8638

Email: <u>lue.winston@epa.gov</u>

Courier Service Address: Potomac Yard North 2733 S. Crystal Drive Room N-6331 Arlington, VA 22202

Deputy Work Assignment Manager:

Amy Hensley Mail Code 5303P 1200 Pennsylvania Ave NW Washington, DC 20460 Phone: (703)305-5084

Fax: (703)308-8638

Email: hensley.amy@epa.gov

Courier Service Address: Potomac Yard North 2733 S. Crystal Drive Room N-6324 Arlington, VA 22202

⊕EPA	Mashington, DC 20460			Agency	•	ssignment	Number			
WEPA		Work A	Assignme	nt	[] Original [X] Amendment Number:3					
Contract Number EP-W-09-024	Contra Base	ct Period e Or	otion Period Number		"Perfo Verifyi Qualit	ing the ative S _l	e-Based Performot Tes	nance Chart t Kits for Le	ignment for racteristics of ead in Paint Verification	
Contractor ATTELLE MEMORI	AL INST	TTI ITE		Specify Sections						
Purpose:] Work Assign			gnment Close-Out	see allaci	-	of Perform				
	[X] Work Assignment Amendment						10	To	:06/22/11	
This amendment app 15,337. Currently, the Assignment. Superfund		295 Profession		irs allocated	d for this	Work		į.	X] Non-Superfund	
	ppropriation	Budget Org/Code	Program Element	Object	Amount	(Dollars)	(Cents)	Site/Project	Cost Org/Code	
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4										
5										
			orized Work	Assignmer	nt Ceiling				-	
Contract Period: Previously Approved		Cost/Fee \$24,370	0.00			LOE 288				
This Action		\$15,337	7.00			7				
Total		\$39,707	7.00		295					
			Plan / Cost I	Estimate A	pprovals	5				
Contractor WP Dated :09/24	4/10		15,337.00			LOE:	7			
Cumulative Approved:		Cost/Fee:\$	39,707.00		LOE:295					
Work Assignment Manager Na	ame				Branch/Mail CodeMS208					
JULIUS M. ENRIQUE	ΞZ				Phone	Number (5	513) 56	9-7285		
(Signature)				(Date)	Fax Nu	mber (51	3) 569-	7158		
Project Officer Name				•	Branch	/Mail Code	7404T		-	
SINETA WOOTEN					Phone	Number (2	202) 56	6-0501		
(Signature)	(Date)	Fax Nu	mber (20	2) 566-	0469					
Other Agency Official Name				(Branch	/Mail Code	_	-		
					Phone	Number				
(Signature)				(Date)	Fax Nu	mber				
Contracting Official Name					Branch	/Mail Code	3803R			
CHRISTINE EDWAR										
OF IT CAN THE	WSZ .	-/ 1	,	1/5/11	Phone	Number (2	202) 56	4-2182		
(Schitture)	15 E	Level	//	1/3/10 (Date)	Phone Fax Nu		202) 56	4-2182	-	

"Performance-Based Work As Inment for Verifying the Performance
Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental
Technology Verification (ETV)

Contract: EP-W-09-024, Work Assignment: 1-06, Amendment: 0003

Summary Information

Title:

"Performance-Based Work Assignment for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental

Technology Verification (ETV)

Period of Performance: F

From: 06/25/10 To: 06/22/11

Award Date:

06/25/10

Total Funding:

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WA Totals

The following item(s) have been modified:

Category	POP	From	By	То
Estimated Cost Fixed Fee	Option 1 Option 1	\$ (b)(4)		

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 288 to 295.

OFDA		tes Environmental Protection Washington, DC 20460	1 Agency	Work					
⊕EP	Wo	rk Assignme	nt	[] Original [X] Amendment Number:2					
Contract Number EP-W-09-024	Contract Period Base	Option Perlod Number		Title of Work Assignment "Performance-Based Work Assignment for Verifying the Performance Characteristics Qualitative Spot Test Kits for Lead in Paint for Environmental Technology Verification (ETV)					
Contractor BATTELLE MEMOR	RIAI INSTITUTE		Specify Section see attach	on and Paragraph of Cor ned Statement of	ntract SOW				
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DC Budget/FYs (Max 6) (Max 4)	Appropriation Budget Org/Co Code (Max 6) (Max 7)	ode Program Element (Max 9)	Object Class	Amount (Dollars)	(Cents)	Site/Project (Max 8)	Coat Org/Code (Max 7)		
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5		Authorized Work /	Assignmen	nt Ceiling					
Contract Period: Previously Approved	Cost	^{t/Fee} 4,370.00		LOE 188					
This Action	\$0.	.00		100					
Total	\$2	4,370.00		288					
Total		Work Plan / Cost i	Estimate A						
Contractor WP Dated :	Cos	t/Fee:		LOE:	100				
Cumulative Approved:		t/Fee:\$24,370.00		LOE:	_				
Work Assignment Manager				Branch/Mail CodeMS208					
JULIUS M. ENRIQU	JEZ			Phone Number (513) 569-7285					
(Signature)			(Date)	Fax Number (513) 569-7158					
Project Officer Name				Branch/Mail Code7404T					
SINETA WOOTEN				Phone Number (2	Phone Number (202) 566-0501				
(Signature)			(Date)	Fax Number (202) 566-0469					
Other Agency Official Name			(Daily)	Branch/Mail Code					
÷				Phone Number					
(Signature)			(Date)	Fax Number					
Contracting Official Name		-	,	Branch/Mail Code	3803R				
CHRISTINE EDWA	ARDS / /	1 1 -	11	Phone Number (2		4-2182			
	Chr. KER	hund 9	19110		202, 2	T-2 102			
(Signature)	The state of the s		(Date)	Fax Number					

"Performance-Based kerk Assignment for Verifying he Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental **Technology Verification (ETV)**

Contract: EP-W-09-024, Work Assignment: 1-06, Amendment: 0002

Summary Information

Title:

"Performance-Based Work Assignment for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental

Technology Verification (ETV)

Period of Performance: From: 06/25/10

To:

Award Date:

06/22/11

Total Funding:

06/25/10

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 188 to 288.

Page: 2

0 EDA	United States Environmental Protection Agency Washington, DC 20460						Work Assignment Number 1-06						
\$EPA							[] Original [X] Amendment Number:1						
Contract Number EP-W-09-024	W-09-024 Base Option Period Number l						Title of Work Assignment "Performance-Based Work Assignment for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental Technology Verification (ETV)						
Contractor BATTELLE MEMORI	AL INSTIT	TUTE		Specify Sections									
Purpose: [] Work Assignment Initiation [] Work Assignment Close-Out [X] Work Assignment Amendment [] Incremental Funding [X] Work Plan Approval Comments:						of Perform :06/25/			то:06/2	22/11			
This amendment app cost of \$24,370.00. (Work Assignment.		there are 188		Labor Hou	rs allocat	ted for t			[X] Non	Superfund			
	ppropriation Code (Max 6)	Budget Org/Code (Max 7)	Program Element (Max 9)	Object Class	Amount	(Dollars)	(Cents)	Site/Project (Max 8)	C	ost Org/Code (Max 7)			
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		Auth	orized Work	Assignmer	nt Ceiling]	-						
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This Action		\$24,370	0.00			(104	1)						
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Contractor WP Dated :07/08	3/10		24,370.00			LOE:-104							
Cumulative Approved:			24,370.00		LOE:188								
Work Assignment Manager Na	ame				Branch/Mail CodeMS208								
JULIUS M. ENRIQUE	ΞZ				Phone Number (513) 569-7285								
(Signature)				(Date)	Fax Number (513) 569-7158								
Project Officer Name	- interest				Branch	Branch/Mail Code 7404T							
SINETA WOOTEN		•			Phone	Phone Number (202) 566-0501							
(Signature)				(Date)	Fax Nu	Fax Number (202) 566-0469							
Other Agency Official Name				(Dato)	Branch	/Mail Code							
	•				Phone	Phone Number							
(Signature)				(Date)	Fax Nu	mber		-					
Contracting Official Name				1-2-7	Branch	/Mail Code	3803R						
CHRISTINE EDWAR	1882	1 1		41	-			4-2182		-			
11mg	CHRISTINE ELWARD 7/3/(1)						Phone Number (202) 564-2182						
(Signature) (Date)						Fax Number Date							

"Performance-Based Work Assument for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental Technology Verification (ETV)

Contract: EP-W-09-024, Work Assignment: 1-06, Amendment: 0001

Summary Information

Title:

"Performance-Based Work Assignment for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental Technology Verification (ETV)

Period of Performance:

From: 06/25/10

To:

06/22/11

Award Date:

06/25/10

Total Funding:

WA Totals

The following item(s) have been added:

Category	POP	Amount
Estimated Cost	Option 1	s ^{(b)(4)}
Fixed Fee	Option 1	

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 292 to 188.

O EDA	States Environmental Protection Agency Washington, DC 20460				1-0	W signment Number						
⊕EP	1	Work A	Assignme	nt	(X) Origin	[X] Original [] Amendment Number:						
Contract Number EP-W-09-024	ntract Number Contract Period						[X] Original [] Amendment Number: Title of Work Assignment "Performance-Based Work Assignment for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental Technology Verification (ETV)					
Contractor	on and Paragrap			/								
BATTELLE MEMOF			ssignment Close-Ou		ned Statem							
Purpose: [X] Work A [] Work Ass [] Work Plan	·	1	6/25/1		т	o:06/22/11						
Work Assignment In	nitiation	Acco	unting and A	ppropriati	ons Data				X) Non-Superfund			
DC BudgetFYs (Max 4)	Appropriation Code (Max 6)	Budget Org/Code (Max 7)	Program Element (Max 9)	Object Class	Amount	(Dollars)	(Cents)	Site/Project (Max 8)	Cost Org/Code (Max 7)			
2							-					
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This Action												
Total		\$0.00			292							
		Work	Plan / Cost l	Estimate A	pprovals							
Contractor WP Dated :		Cost/Fee:				LOE:						
Cumulative Approved:		Cost/Fee:\$	0.00		LOE:292							
Work Assignment Manager	Name				Branch/Mail CodeMS208							
UULIUS M. ENRIQI	JEZ				Phone Number (513) 569-7285							
(Signature)				(Date)	Fax Numb	Fax Number (513) 569-7158						
Project Officer Name					Branch/Mail Code 7404T							
SINETA WOOTEN					_	Phone Number (202) 566-0501						
(Signature)				(Date)	Fax Number (202) 566-0469							
Other Agency Official Name				(Desc)	-	Branch/Mail Code						
					Phone Nu	ımber						
(Signature)				(Date)	Fax Numb	Fax Number						
Contracting Official Name				(2010)	Branch/M	all Code	3803R					
CHRISTINE SOWA	RDS	v.*		11	-	_		4-2182				
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"Performance-Based Work signment for Verifying the formance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental Technology Verification (ETV)

Contract: EP-W-09-024, Work Assignment: 1-06

Summary Information

Title:

"Performance-Based Work Assignment for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental

Technology Verification (ETV)

Period of Performance: From: 06/25/10

To: 06/22/11

Award Date:

Total Funding:

Procurement Management Roles

WORK ASSIGNMENT MANAGER:

U.S. E.P.A. Attn: JULIUS M. ENRIQUEZ 26 W MARTIN LUTHER KING DRIVE 45268 CINCINNATI, OH

Mail Code: MS208

Phone Number: (513) 569-7285 Fax Number: (513) 569-7158

E-Mail Address: enriquez.julius@epa.gov

ALTERNATE WORK ASSIGNMENT MANAGER:

U.S. E.P.A. Attn: EVELYN M. HARTZELL 26 W MARTIN LUTHER KING DRIVE

CINCINNATI, OH 45268

Mail Code: MS208

Phone Number: (513) 569-7728 Fax Number: (513) 569-7158

E-Mail Address: hartzell.evelyn@epa.gov

Attachments

Attachment Name

Performance-based WA for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental Technology Verification (ETV)

Contract Number: EP-W-09-024 (Should be same contract number)

Work Assignment Number: 1-06

This is a continuation of WA 0-06 under contract EP-W-09-024. Work already completed under this work assignment shall not be duplicated.

Title: Performance Based Work Assignment for Verifying the Performance Characteristics of Qualitative Spot Test Kits for Lead in Paint for Environmental Technology Verification (ETV)

Background:

The accurate and efficient identification of lead-based paint in housing is important to the Federal government and to private individuals living in residences containing such paints, especially when renovation, repair or painting work is planned. Renovation, repair and painting (RRP) may disturb painted surfaces and produce a lead exposure hazard. According to a recent report by the President's Task Force on Environmental Health Risks and Safety Risks to Children, approximately 24 million U.S. dwellings were at risk for lead-based paint hazards in 1999 (1). The term lead-based paint means paint or other surface coatings that contain lead at contents that "equal or exceed a level of 1.0 milligram per centimeter squared or 0.5 percent by weight"

EPA issued a final rule called the Lead; Renovation, Repair, and Painting Program rule on April 22, 2008 (http://www.epa.gov/fedrgstr/EPA-TOX/2008/April/Day-22/t8141.pdf). The rule was issued to address lead-based paint hazards created by renovation, repair, and painting activities that disturb lead-based paint in target housing and child-occupied facilities. The rule mentions the development of an improved test kit for paint that has a false negative rate of no more than 5% and a false positive rate of no more than 10% vis-à-vis the federal standards for lead-based paint. Under this rule the EPA evaluation and recognition program for test kits, initially for kits that are candidates to meet the goal of a 5% false negative rate, and then for kits that are candidates to meet the joint goals of a 5% false negative rate and a 10% false positive rate are mentioned.

The current rule on p 21713 states that test kit performance would have to be "validated by a laboratory independent of the kit manufacturer, using ASTM International's E1828, Standard Practice for Evaluating the Performance Characteristics of Qualitative Chemical Spot Test Kits for Lead in Paint (Ref. 28) or an equivalent validation method. The instruction for use of any particular kit would have to conform to the results of the validation, and the certified renovator must follow the manufacturer's instructions when using the kit." Some of the main features of the ASTM document will be used for the evaluation testing of these kits and EPA will only recognize kits that have been properly validated by a laboratory independent of the kit manufacturer.

The rule also states on page 21713 that with respect to the improved test kits, EPA has determined that Environmental Technology Verification Program (ETV) is a suitable vehicle for obtaining independent laboratory validation of test kit performance. EPA intends to use ETV or

an equivalent testing program approved by EPA for the test kit recognition process. EPA's Environmental Technology Verification (ETV) Program develops testing protocols/plans and verifies the performance of innovative technologies that have the potential to improve protection of human health and the environment. ETV was created to accelerate the entrance of new environmental technologies into the domestic and international marketplace. Market input is conveyed by the active involvement of stakeholder groups consisting of technology buyers, sellers, permitters, consultants, financiers, exporters and others within each sector. Further, verification is open to all vendors of commercial-ready environmental technologies in a given category of interest to ETV. ETV verifies agency priorities, like the determination of lead in paint test kits performance, under the Environmental and Sustainable Technology Evaluations (ESTE) portion of the ETV program.

ETV has developed three documents to help guide program operation and ensure program credibility and consistency. The first document is the ETV Quality Management Plan (QMP). This is a program management document used by ETV to guide its operation. The ETV QMP explains in detail the quality assurance policies and procedures, including the development of center-specific QMPs by the verification organizations (VOs), in the case of the Environmental and Sustainable Technology Evaluation projects like this one, test/QA plans that contain or references quality management system documentation that meets ETV standards. The second is the ETV Program Policy Compendium, which defines the operating policies developed to encourage consistency among the ETV centers. The third document is the draft ETV Guidelines for Proper Use of the ETV Name and Logo (EPA 2007). This document contains the policy and procedures for using the ETV name, logo, or verified data.

Statement of Work

This is a continuation of WA 0-06 under contract EP-W-09-024 and WA 4-16 under contract EP-W-04-021. Work already completed under these work assignments shall not be duplicated. The Qualitative Spot Test Kit Verification described in the previous work assignments was divided into six separate tasks. Tasks 1-4 under Phase I were initiated during WA 4-16, which ended on March 2, 2009. Task 5 and part of Task 6 were initiated under WA 0-06 which ended on June 22, 2010. The remainder of Task 5 and majority of Task 6 shall be performed under this follow on work assignment. Activities under Phase I, II and III to be performed under this follow on work assignment are identified in bold under the respective tasks. These activities are not duplicate work. The contractor shall comply with the ETV QMP (http://www.epa.gov/etv/pubs/600r08009.pdf), Policy Compendium (http://www.epa.gov/etv/pubs/600r08029.pdf) when performing these tasks.

Phase I (The majority of tasks 1 - 4 were completed under work assignments 4-16 and 0-06. Phase 1 activities to be continued in this follow-on work assignment are emphasized in bold)

1. Stakeholder Technical Panel

Stakeholder activities shall be continued during this follow-on work assignment, on an as needed basis. Deliverables, such as stakeholder meeting minutes, shall also be produced on an as needed basis.

- 2. Development of the Test/Quality Assurance Plan and Verification Protocol
 - 2.1 Development of the Test/Quality Assurance Plan (T/QAP)
- 3. Development and Production of Performance Evaluation Materials (Please review this section)
- 4. Vendor recruitment and coordination

Phase II

5. Verification Testing

Verification testing for all four kits was performed under WA 0-06. Under this WA, the contractor shall perform all necessary retesting needed to meet the data quality goals for the project and demonstrate that EPA ETV quality assurance requirements have been met. Retesting will be conducted using the previously tested panels for the kit.

The contractor shall allow EPA technical and quality assurance staff to perform an audit, as needed, for some of the retesting.

Phase III

6. Reporting

The Contractor shall complete a written peered-reviewed and quality-assured verification report (VR) for each test kit technology detailing the verification testing results from the testing. Drafts of these reports were developed under WA 0-06. The report must comply with the VR requirements as discussed in the ETV QMP. The observed performance of each kit tested will be described in this verification report. The performance of different test kit technologies will not be ranked. The reports will describe, in addition to each kits' verification performance (accuracy and precision), parameters such as operational factors which include operator observations, ease of use, and sample throughput, specialized training needed, and cost. They will also discuss the role stakeholders played in the project. An appendix which will discuss the PEM preparation/process, deviations, ICP and homogeneity results and the quality of the PEMs will be attached to the verification reports. The

source file and pdf for this report will be submitted to ETV for publication on the ETV web site. PDF file must be 508 compliant.

In addition to the verification reports described above, the contractor shall develop a verification statement (VS) for each verified test kit. In ETV the VS is usually a 2-5 page summary of the verification report. It is a requirement that this summary is created for each report. The statement must comply with the VS requirements as discussed in the ETV QMP and shall include accuracy and precision data on each test kit as well as information about operator observations, ease of use, sample throughput, training requirements, and cost. The source file and pdf for this statement will be submitted to ETV for publication on the ETV web site. PDF file must be 508 compliant.

Schedule of Milestones and Deliverables

Tasks 5, 6, and, as needed, 1 will be completed under this follow-on work assignment. Activities and tasks performed under the two previous work assignments will not be duplicated under this follow on work assignment.

A <u>work plan and schedule</u> for the verification reports will be due 5 days from the issuance of this follow on work assignment (WA).

The Contractor shall submit <u>monthly progress reports</u> that shall contain, at a minimum, the progress on each task, the costs to date, the reason for any deviations from the project schedule, and a planned expenditure rates for Tasks 5 and 6 and some of the ongoing efforts.

When a stakeholder meeting is held minutes are due to EPA within two (2) weeks of each meeting. The source file and pdf for the minutes will be submitted to ETV for publication on the ETV web site. PDF file must be 508 compliant.

Summary results of verification retesting is due one week after the end of each testing

<u>Draft Verification Reports</u> The draft verification reports for the first three kits will be submitted by June 14, 2010. Draft verification report for the fourth kit is expected by July 15, 2010.

Completed verification reports and statements The completed verification reports and statements for the first three kits will be submitted by July 12, 2010. Verification report for the fourth kit is expected by August 12, 2010. Verification testing was accomplished under the previous contract EP-W-09-024. Verification reports and statements should comply with the requirements specified in the ETV QMP. Verification reports and statements must be 508 compliant.

Both parties shall communicate regularly throughout the testing and evaluation process. The Contractor shall obtain COR concurrence on all decisions affecting cost and delivery schedules.

Performance measures:

The government shall review the completeness of the internal TSA reports and response, the verification reports and statements, and promptness of submitting these deliverables as required in this work assignment. If the contractor is late by more than 14 calendar days, from the due date specified in the work assignment, the government shall take a 10% reduction in the fee associated with the development of the verification reports and statements for this verification testing, that is, the fee associated with the development of these deliverables for this work assignment. The reduction shall be applied to all fees, both the paid fee and the unpaid fee.

Period of Performance: From the date of the Contracting Officer's signature to June 23, 2011.

Level of Effort: A total of 292 hours are not to be exceeded for completion of Tasks 5 and 6 and some of the on going efforts from Phase 1. The contractor shall inform the EPA WAM when 75% of the level of effort has been expended.

Work Assignment Manager
Julius M. Enriquez
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513-569-7158 (fax)
enriquez.julius@epa.gov

Deputy Work Assignment Manager Evelyn Hartzell 513-569-7728 (phone) 513-569-7158 (fax) hartzell evelyn @epa.gov

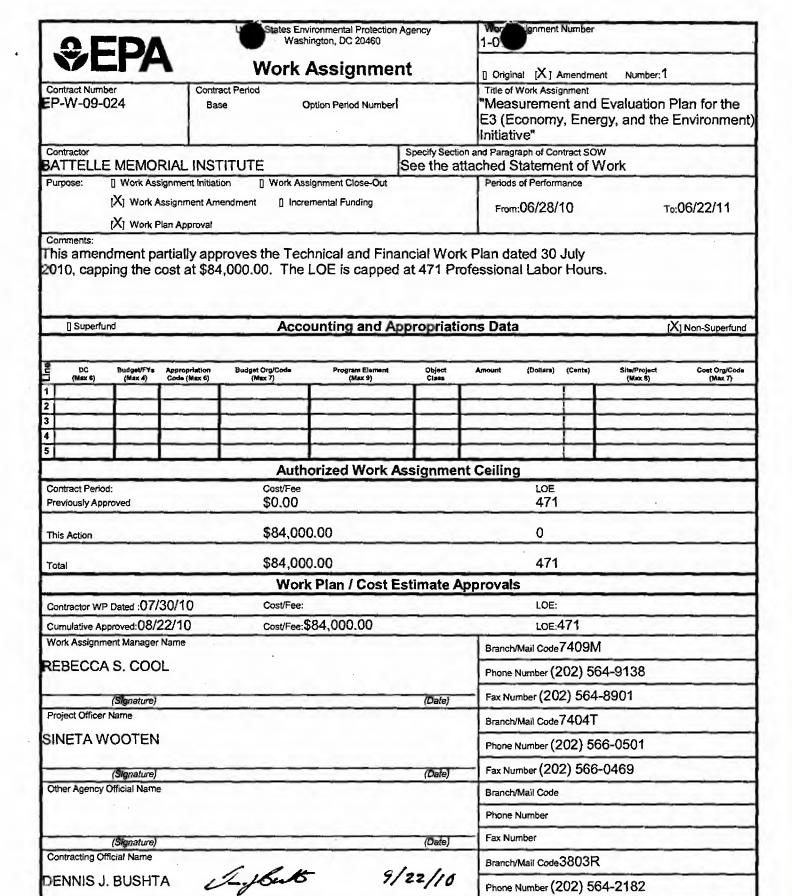
Appendix A

The contractor shall ensure that technology vendors are provided with copies of the ETV QMP, Policy Compendium, Guidelines for Proper Use of the ETV Name and Logo, and the following information:

- Verification testing shall be conducted in accordance with the approved ETV test/QA
 plan which will be posted on the EPA ETV Web site. Vendors will be provided an
 opportunity to review and comment on this plan before the test/QA plan is approved by
 EPA and testing commences.
- Testing shall occur at a predetermined test date(s) and location(s), agreed to by the vendor(s), contractor, and EPA. The test date and location shall not be changed without obtaining agreement from all parties.
- Once verification testing is initiated, it shall be completed in its entirety and the results of
 the testing shall be published by EPA in a verification report which EPA will post on the
 EPA ETV Web Site (www.epa.gov/etv). Typically a verification statement is also
 produced and posted on the EPA ETV Web Site, although a vendor can send a request in
 writing to the EPA ESTE project manager requesting not to have a verification statement
 prepared. Vendors will be provided an opportunity to review and comment on the
 verification report and statement before they are finalized by EPA.
- Verification test data provided to vendors prior to the publication of the verification report may only be used by a vendor if the vendor notes that the data have not been finalized by EPA.
- The vendor will need to assign a technical point of contact for the verification and provide, at no cost to EPA or the contractor, a unit(s) of the technology to be tested, equipment and materials needed to operate the technology during testing, and written descriptions and diagrams of the technology. The vendor assumes all responsibility for any loss or damage of any kind to the technology and any equipment provided by the vendor for verification testing.
- ETV does not endorse, approve, or certify for use any technology that it verifies. Under no circumstances shall the ETV Name or Logo be used in a manner that would imply EPA endorsement, approval, certification, guarantee, or warrantee of the company, its products, its technologies, or its services. Vendors interested in using the ETV name or logo shall need to send a letter to the EPA ESTE project manager stating that they have read and will abide by ETV's name and logo use policy. A copy of the logo use policy is posted on the ETV Web Site at www.epa.gov/etv. If EPA or its ETV VOs discover that ETV verification is being misrepresented, the verification will be revoked if necessary. Failure by a developer/vendor or their representative(s) (e.g., licensed distributor, foreign subsidiary, contractor, advertisement agency, etc.) to make the required correction(s) may result in removal of the developer's/vendor's verification report and statement from the ETV Web Site and revocation of the verification report and statement.
- When supplying information to EPA or its contractor, the vendor is responsible for

identifying information that it believes is entitled to confidential treatment for reasons of business confidentiality in accordance with the Agency's regulations at 40 C.F.R. Part 2, Subpart B. The vendor will clearly identify confidential information disclosed to EPA and it contractor in writing and clearly memorialize in writing, within a reasonable time, any confidential information initially disclosed orally. EPA will not disclose, copy, reproduce or otherwise make available in any form information designated as confidential information without the consent of the vendor, except as such information may be subject to disclosure under the Freedom of Information Act (5 U.S.C. § 552), and EPA's regulations at 40 C.F.R. Part 2, or as otherwise authorized by law. Clauses have been included within EPA's contract with its contractor addressing the treatment of confidential information.

 With the exception of confidential information (see previous bullet for information on identifying confidential information and how this information will be treated by EPA and its contractor), ultimately all other procedures, data, results, reports, and statements, developed or generated during the verification process for a vendor's technology may be made available to the public.



Fax Number

Date

(Signature)

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

"Measurement and Evaluation Plan for the E3 (Economy, Energy, and the Environment) Initiative"

Contract: EP-W-09-024, Work Assignment: 1-07, Amendment: 0001

Summary Information

Title: "Measurement and Evaluation Plan for the E3

(Economy, Energy, and the Environment) Initiative"

Period of Performance: From: 06/28/10

To: 06/22/11

Award Date:

06/28/10

Total Funding:

Procurement Management Roles

The following item(s) have been modified:

ADMINISTRATIVE CONTRACTING OFFICER:

U.S. E.P.A.

Attn: DENNIS J. BUSHTA 1200 PENNSYLVANIA AVE, NW WASHINGTON, DC 20460

Mail Code: 3803R

Phone Number: (202) 564-2182

Fax Number:

E-Mail Address: edwards.christine@epa.gov

WA Totals

The following item(s) have been added:

Category	POP	Amount
Estimated Cost Fixed Fee	Option 1 Option 1	\$ (b)(4)

61.22/2

Just 18

Page: 2

Q.F	Work Assignment						Assignment Number					
		Work Assignment					[X] Original [] Amendment Number:					
	ontract Number Contract Period P-W-09-024 Base Option Period Number I						Title of Work Assignment "Measurement and Evaluation Plan for the E3 (Economy, Energy, and the Environment) Initiative"					
Contractor												
BATTELLE MEMORIAL INSTITUTE See the att							of Perform		ork			
Purpose: [X] Work Assignment Initiation [] Work Assignment Close-Out [] Work Assignment Amendment [] Incremental Funding [] Work Plan Approval							:06/28/		To	:06/22/11		
Comments: Work Assi		nitiation				oue Det						
[] Superfu	ind	_	Acco	unting and Ap	propriation	ons Data	1		D)	X] Non-Superfund		
DC (Max 6)						Amount	(Dollars)	(Cents)	Site/Project (Max 6)	Cost Org/Code (Max 7)		
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Contractor WF	P Dated :		Cost/Fee:				LOE:					
Cumulative Ap	proved:		Cost/Fee:\$	0.00		LOE:471						
Work Assignm	nent Manager	Name				Branch/Mail Code 7409M						
REBECCA	s. coc)Ľ				Phone Number (202) 564-9138						
	(Signature)				(Date)	Fax Number (202) 564-8901						
Project Officer					(Date)	Branch/Mail Code 7404T						
SINETA WOOTEN												
SHALLY A	ONITE IN THE OFFICE OF THE OFFICE OFF						Phone Number (202) 566-0501 Fax Number (202) 566-0469					
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Other Agency	(Signature)				(Dafe)	-	mber (20) /Mail Code	2) 566-	0469			
	(Signature)				(Date)	Branch	_	2) 566-	0469			
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	(Signature) Official Name (Signature)	e				Branch Phone Fax Nu	/Mail Code Number		0469			
Other Agency	(Signature) Official Name (Signature)	Mane	erail			Branch Phone Fax Nu Branch	/Mail Code Number Imber	3803R				

"Measurement and Evaluation and for the E3 (Economy, E gy, and the Environment) Initiative"

Contract: EP-W-09-024, Work Assignment: 1-07

Summary Information

Title: "Measurement and Evaluation Plan for the E3

(Economy, Energy, and the Environment) Initiative"

Period of Performance: From: 06/28/10

To: 06/22/11

Award Date: Total Funding:

Procurement Management Roles

WORK ASSIGNMENT MANAGER:

U.S. E.P.A.

Attn: REBECCA S. COOL 1200 PENNSYLVANIA AVE, NW WASHINGTON, DC 20460

Mail Code: 7409M

Phone Number: (202) 564-9138 Fax Number: (202) 564-8901

E-Mail Address: cool.rebecca@epa.gov

Attachments

Attachment Name

SOW/Measurement and Evaluation Plan for the E3 (Economy, Energy, and the Environment) Initiative

Page: 2

SOW/Measurement Evaluation Plan for the Esconomy, Energy, and the Environment)

Contract: EP-W-09-024, Work Assignment: 1-07

Contract Number: EP-W-09-024

Work Assignment: 1-07

Title: Measurement and Evaluation Plan for the E3 (Economy, Energy, and the

Environment) Initiative.

Purpose:

This work assignment will provide support for the development of a Measurement and Evaluation Plan for the E3 (Economy, Energy, and Environment) Initiative

I. Background:

The E3 initiative is a collaboration among the U.S. EPA, the Department of Energy, the Department of Commerce, the Department of Labor, and the Small Business Administration to bring economic prosperity, energy efficiency, and environmental stewardship to communities. This initiative involves city government, local environmental and lean experts, a local utility, utility customers and/or suppliers, and federal agencies. It pulls together federal, state and local resources to provide technical assistance in lean and clean, energy efficiency and carbon foot-printing to utility customers and/or suppliers to reduce the environmental impact on a community-wide basis.

The initiative integrates the EPA's Green Suppliers Network 'lean and clean' process review with the Department of Energy's on-site energy audit into one customized technical review. Manufacturers receive a detailed report with specific strategies for reducing their waste and using materials and energy more efficiently. Through the EPA's Climate Leaders program, manufacturers also receive a Greenhouse Gas Evaluation that includes on site technical resources to help them establish their own carbon footprint, using the Climate Leaders new simplified GHG calculator.

Under this initiative, pilot projects have been completed in San Antonio, Texas, and Columbus, Ohio and are underway in Michigan and Alabama. This initiative is being supported in large part by the Manufacturing Extension Partnership program of NIST and its contractor, and the Department of Energy's Industrial Technologies Program and its network of Industrial Assessment Centers.

The outcome of a one-day workshop on measuring "technical assistance program effectiveness", held in mid-November 2009, will be extremely helpful in developing a Measurement and Evaluation Plan for E3. The contractor will use the results of this workshop in development of the measurement and evaluation plan for E3.

This workshop assembled a small group of experts in the field of measuring "technical assistance program effectiveness". These technical assistance programs include both pollution prevention as well as energy efficiency programs. The objectives of this workshop were to:

• Examine the current state of thinking about how program effectiveness can be/is being measured, for programs seeking to influence business and individual

Contract: EP-W-09-024, Work Assignment: 1-07

behavior with respect to energy efficiency and environmental management.

- Explore the development of predictive models of program impact which might help program managers better understand the relationship between programmatic activities, such as outreach, education, network creation, and desired environmental/energy outcomes.
- Determine what data are required to construct or operate such models, and identify gaps in current data collection practices.
- To identify key functional attributes/requirements of future data systems intended to manage the effectiveness of such programs.

II. Scope of Work:

Task 1: Measurement and Evaluation Plan for E3

The contractor shall assemble a workgroup of technical experts in the field of program effectiveness measurement. The individuals selected by the contractor shall be provided to the WAM for approval. This workgroup shall meet between 3-5 times during the performance period of the contract.

Using the recommendations of the technical experts workgroup, as well as the recommendations of the conference described above, the contractor shall develop a draft blueprint for the 5 federal agencies (EPA, DOE, DOC/NIST/MEP, DOL, and the SBA) participating in the E3 initiative that identifies the most appropriate ways to collect direct impacts data. This blueprint will address the individual reporting requirements of all 5 agencies as well as the collective reporting requirements for E3. The contractor shall verify the recommended approach with various local technical assistance providers. Based on the feedback received, the contractor shall develop final recommendations on the most effective information gathering devices to collect information necessary to fully evaluate the effectiveness of the E3 program. This blueprint shall assist in the development of a sophisticated data collection instrument that shall demonstrate direct causal links for all E3 impacts including, energy and environmental outcomes.

Task 2: Forecasting Tools

The contractor shall develop a set of forecasting tools that will help program managers project the outcomes of different program investment options and strategies.

Task 3: Measurement Implementation

The contractor shall lead, or participate in, the implementation of the E3 program measurement system (likely with an implementation partner).

Contract: EP-W-09-024, Work Assignment: 1-07

III. Deliverables:

Task	Deliverable	Due Date				
	Work Plan	15 calendar days after contractor receives the work assignment				
1	Documented Measurement and Evaluation Plan	30 days following approval of the work plan				
2	Forecasting Tools	60 days following the approval of the work plan				
3	Implementation of Measurement System	90 days following the approval of the work plan				

This work assignment relates to Task II: Data Analysis, Subtask 2, of the current Statement of Work (SOW).

IV. Period of Performance:

This work assignment will start on the date of the contracting officer's signature and extend through June 22, 2011.

V. Level of Effort:

This work assignment shall require 471 professional hours. No work performed under previous work assignments will be duplicated under this work assignment. EPA will approve the work plan within 45 days of receipt. A QA plan is not required. A work plan is required. CBI does not apply.

VI. EPA Contacts:

Contracting Officer Representative:

Rebecca S. Cool

Pollution Prevention Division (7409M)

Voice: 202-564-9138 Fax: 202-564-8901

Email: cool.rebecca@epa.gov

Mailing Address:

1200 Pennsylvania Ave. NW Washington, DC 20460

SOW/Measurement and Evaluation Plan for the E3 (Econor Energy, and the Environment)
Contract: EP-W-09-024, Work Assignment: 1-07

Delivery Address: Room 5303EE, EPA East 1201 Constitution Ave. NW Washington, DC 20004

EPA	United States Environm Washin	Work Assignment Number 1-09								
Work Assignment						Other Amendment Number:				
Contract Number	Contract Period 06/	′23/2009 To	06/22/	2011	Title of Work Assigni	ment/SF Site Nam	ie			
EP-W-09-024	Base	Option Period Nu	mber 1		See Comments	3				
Contractor	Contractor Specify Section and paragraph of Contract SOW									
BATTELLE MEMORIAL INSTIT	UTE									
Purpose: Work Assignment		Period of Performan	ce							
Work Assignment Ame	endment	Incremental Fundi	nġ		1					
X Work Plan Approval					From 12/16/	2010 T∘ 06	/22/2011			
Comments: Taxonomic Identifications and for the 2010 National Coastal		t								
This action approves the Work	Plan dated January	19, 2011.								
Superfund	Acc	ounting and Appro	priations Data			Х	Non-Superfund			
SFO (Max 2)	Note: To report additional ad	counting and approp	nations date use	EPA Form 190	0-69A.					
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Contractor WP Dated: 02/29/2011	Cost/Fee: Ş	124,919.00		LOE:	LOE: 528					
Cumulative Approved: 4/5/2011	Cost/Fee: Ş	124,919.00		LOE	LOE: 528					
Work Assignment Manager Name Marla	Smith			Bran	nch/Mail Code:	,				
				Pho	Phone Number 202-566-1047					
(Signature)		(Date)	FAX	FAX Number:					
Project Officer Name Cynthia Bowie				Brar	Branch/Mail Code:					
				Pho	ne Number: 202-	564-7726				
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